

# Dynamic and static local distortions – relationships between local structure and macroscopic properties.

Frank Bridges UCSC

1. Motivation for studying temperature dependence of local structure
2. Broadening parameter  $\sigma$  – relationship with other techniques;  
split peaks vs broadened peaks
3. Need for high signal-to-noise data: Collection and reduction of transmission EXAFS data – powder samples (manganite) with an unfocused Beamline.
4. Examples – manganites and cobaltites (Jahn-Teller distortions)
5. Examples – rattler systems skutterudites and clathrates
6. Examples – negative thermal expansion

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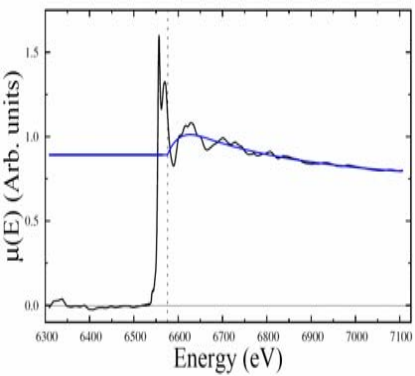
Support NSF



# Motivation

- Some systems have a **Jahn-Teller distortion** – e.g. the six O atoms around  $\text{Mn}^{+3}$  in  $\text{LaMnO}_3$  are not equivalent; there is a distortion with **two long bonds and 4 shorter bonds** (the four are slightly split). In contrast for  $\text{CaMnO}_3$ , the 6  $\text{Mn}^{+4}$ -O bonds are equal within  $0.01\text{\AA}$ . The competition between distorted and undistorted sites determines the magnetic and transport properties in substituted manganites ( $\text{La}_{1-x}\text{Ca}_x\text{MnO}_3$ ) which are metallic and ferromagnetic at low T for some concentrations x, but non-metallic and insulating at high T.
- In large-unit-cell systems an atom may be **weakly bonded** to the rest of the crystal – can have large vibration amplitudes – called a **“rattler”**. This disorder can strongly scatter thermal phonons and lead to a glass-like, low thermal conductivity.
- Parts of some systems may have **very stiff bonds** – in that case may need to consider some polyhedra (eg a tetrahedra) as a **rigid unit**. This unit acts like a “large atom”. Other parts of the unit cell have weaker springs – can lead to interesting properties.
- **All these properties require knowledge about the vibrations of various bonds or atom-pairs in the system – usually described in terms of the width  $\sigma$ , of the atom-pair distribution function.**

# EXAFS Equation



$$\mu_{edge}(E) = \mu_0(E)(1 + \chi(E))$$

$$k\chi(k) = \text{Im} \sum_i A_i \int F_i(k, r) g(r_{0,i}, r) e^{i(2kr + 2\delta_c(k) + \delta_i(k))} / r^2 dr$$

$$\approx \sum_i e^{-2k^2\sigma_i^2} A_i [F_i(k, r_{0,i}) / r_{0,i}^2] \sin(2kr_{0,i} + 2\delta_c(k) + \delta_i(k))$$

$F_i(k, r)$ ,  $\delta_c$ ,  $\delta_i$  --calculated using FEFF

$\sigma_i$  – width of pair distribution function,  $g(r_{0,i}, r)$

$$A_i = N_i S_o^2$$

$$(\hbar k)^2 / 2m = E - E_0$$

**Simplify to first neighbor peak only (we will fit in r-space – Fourier transform space)**

**Use either:**

**FEFF to generate a theoretical standard (calculate  $F_i(k, r)$ ,  $\delta_c$ ,  $\delta_i$ )**

$$k\chi_{Mn-O}(k) = e^{-2k^2\sigma^2} A \left\{ [F(k, r_0) / r_0^2] \sin(2kr_0 + 2\delta_c(k) + \delta(k)) \right\}$$

**or an experimental standard**

$$k\chi_{Mn-O}(k) = e^{-2k^2(\sigma^2 - \sigma_o^2)} A' \left\{ e^{-2k^2\sigma_o^2} N S_o^2 [F(k, r_0) / r_0^2] \sin(2kr_0 + 2\delta_c(k) + \delta(k)) \right\}$$

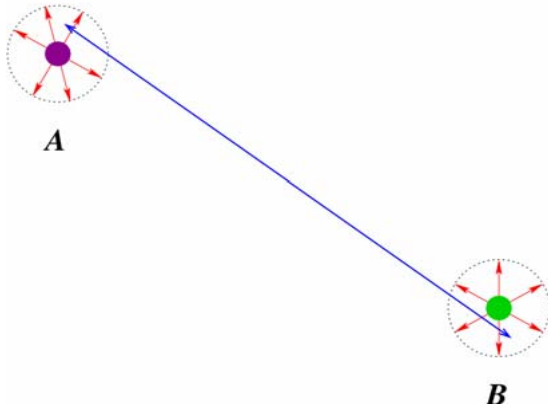
←

**Experimental standard**

→

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# Definition of $\sigma$

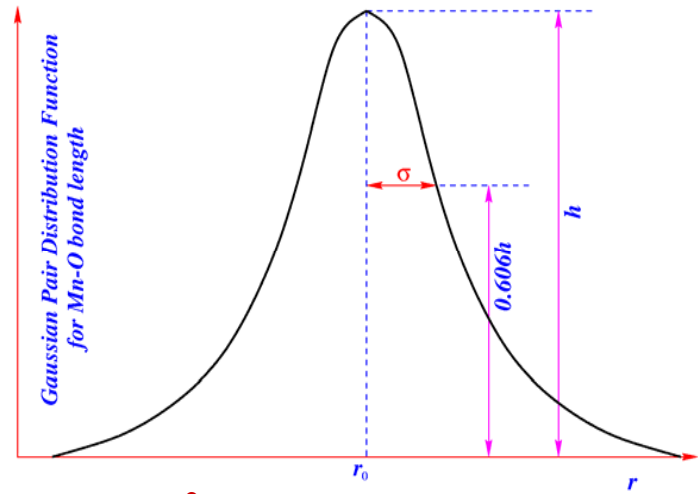


$$\sigma^2_{\text{total}} = \langle ((\delta R_A - \delta R_B) \cdot r_{AB})^2 \rangle$$

- Displacements  $\delta R_A$  and  $\delta R_B$  for atoms A and B, can be static or dynamic.
- $\sigma^2$  is the second moment of the pair -distribution function.
- Primarily sensitive to radial displacements

For uncorrelated mechanisms:

$$\sigma^2_{\text{total}} = \sigma^2_{\text{static}} + \sigma^2_{\text{thermal}} + \sigma^2_{\text{polarons}} +$$



Contributions to  $\sigma^2$

- **Thermal phonons** – Einstein or Debye models.
- **Polarons** – a distortion associated with a partially localized charge.
- **Static distortions** – distribution of pair distances from strains, impurities, etc.
- **Off-center** displacements
- An **(unresolved) split peak** – effective  $\sigma$  is  $\sim \Delta r/2$  where  $\Delta r$  is the peak splitting.
- $\Delta r \sim \pi/(2k_{\text{max}})$  to resolve

# Simple example – isolated atom pair



Use reduced mass –  $M_R = 1/2m$

$x$  is the variation in the bond length, about  $r_0$

$\kappa$  is the spring constant

only **one** mode of vibration

$$E = \frac{1}{2} M_R v^2 + \frac{1}{2} \kappa x^2$$

$$\langle E \rangle = \left\langle \frac{1}{2} M_R v^2 + \frac{1}{2} \kappa x^2 \right\rangle = \langle \kappa x^2 \rangle = \kappa \langle x^2 \rangle = \kappa \sigma^2$$

$$\langle E \rangle = \langle n + 1/2 \rangle \hbar \omega$$

$$\langle E \rangle \approx kT \quad \text{at high } T$$

$$\sigma^2 = \frac{\langle E \rangle}{\kappa} \approx \frac{k_B T}{\kappa} \quad \text{at high } T$$

$$\kappa = M_R \omega^2$$

$$\sigma^2 = \frac{\hbar \omega}{2 \kappa} \quad \text{at low } T \quad \text{zero-point motion}$$

$$\hbar \omega = k_B \Theta$$

# Split peaks and $\sigma$

**When one has a split peak with small splittings it contributes to the broadening (See book by B. Teo), easiest seen at low T.**

$$\sigma^2 = \sum_{j=1}^N \frac{(r_j - r_o)^2}{N}$$

Equal splitting of 6 bonds into two groups split by  $\Delta r$

$$\sigma = \Delta r/2$$

Splitting into three peaks with equal splittings  $\Delta r$ .

$$\text{Then } \sigma = (2/3)\Delta r$$

# Comparison between Einstein and Correlated Debye models – T Dependence I (Simple systems)

## Einstein model

(local modes, optical modes)

$$\begin{aligned}\sigma_E^2 &= \frac{\hbar^2}{2M_R k_B \Theta_E} \coth\left(\frac{\Theta_E}{2T}\right) \\ &= \frac{k_B \Theta_E}{2\kappa} \coth\left(\frac{\Theta_E}{2T}\right) \\ &= \langle \text{Energy} \rangle / \kappa \\ &\approx k_B T / \kappa; \text{ at high } T\end{aligned}$$

At  $T \sim 0$ ,  $\sigma_E^2(0) = \hbar^2 / (2M_R k_B \Theta_E) = k_B \Theta_E / (2\kappa)$

$M_R$  – reduced mass

$\kappa$  – Spring constant

$\Theta_E$  – Einstein Temperature

$\Theta_{cD}$  – Correlated Debye Temperature;  $\Theta_{cD} = \hbar \omega_{cD} / k_B$

$c$  – effective speed of sound =  $\omega_{cD} / k_D$

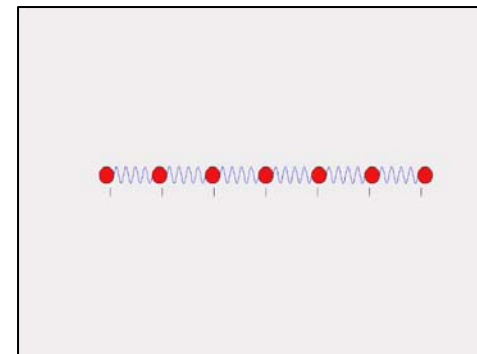
## Correlated Debye Model

(All modes; sometimes restricted to Acoustic modes)

$$\begin{aligned}\sigma_{cD}^2 &= \frac{\hbar}{2M_R} \int_0^{\omega_{cD}} \frac{3\omega}{\omega_{cD}^3} \coth\left(\frac{\hbar\omega}{2k_B T}\right) C_{ij}(\omega) d\omega \\ C_{ij} &= 1 - \frac{\sin((\omega/c)R_{ij})}{(\omega/c)R_{ij}}; \quad R_{ij} \text{ is for atom pair } ij\end{aligned}$$

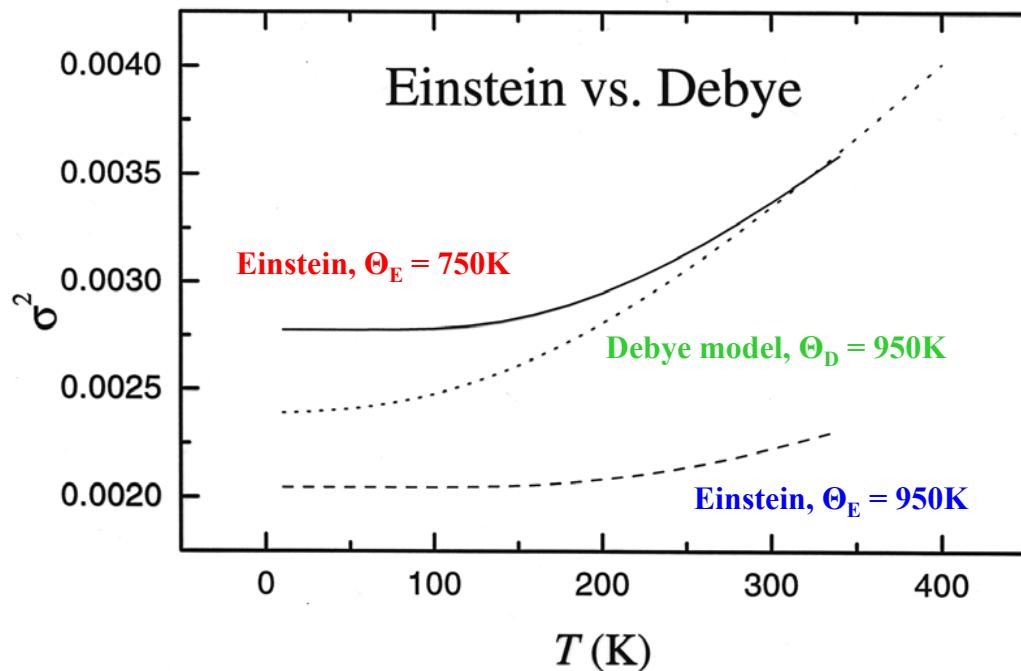
Positive correlations

– finite wavelength, acoustic modes



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# Temperature Dependence of $\sigma^2$ II



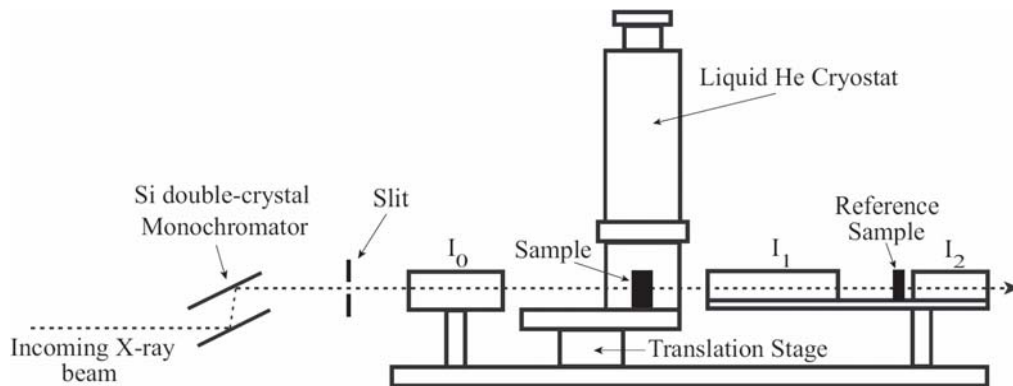
## Some general properties:

- For thermal vibrations  $\sigma^2_{\text{thermal}}$  vs  $T$  has a positive slope; linear with  $T$  at high  $T$ . Einstein model has a sharper bend with  $T$ .
- Zero-point motion determines  $\sigma^2_{\text{thermal}}$  at low  $T$  – for Einstein Model, should correlate with appropriate Raman mode .
- If static disorder present ( $\sigma^2_{\text{static}}$ ), produces a rigid vertical shift [ $\sigma^2 = \sigma^2_{\text{static}} + \sigma^2_{\text{thermal}}$ ].



# General Beamline/data collection requirements for transmission EXAFS

- 1. **Uniform X-ray beam over sample.** A non-uniform X-ray beam profile ( $I_0(x,y)$ ) couples with non-uniformities in the sample thickness. Good energy resolution, low harmonics.
- 2. **Linear detectors, amplifiers, and linear digitization** over a wide dynamic range  $10^4$  - $10^5$  ( $10^6$ ?) **gas ionization detectors** – keep recombination rate low in detectors  $I_0$ ,  $I_1$ , and  $I_2$ . **Can become non-linear when ionized region is too dense** and not all electrons are extracted (focused beamlines, high synchrotron current --).
- 3. **Uniform sample–thickness:** pinholes, cracks, taper in thickness; all vary the local thickness. Because the  $\ln$  function is non-linear, variations in thickness do not completely average out. (similarly for an inhomogeneous composition for doped samples – but more complicated)



$$I_1 = I_0 e^{-\mu t}$$

$$\mu t = \ln( I_0 / I_1 )$$

$$(\mu t_i) = \ln( I_{0_i} / I_{1_i} )$$

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# Coupling between Beam Intensity non-uniformity and sample non-uniformity

- The signal obtained from a detector is an integral over the cross-sectional area of the beam.

$$I_o = \int_{-a/2}^{a/2} \int_{-b/2}^{b/2} F(x, y, E) dx dy$$

$F(x,y,E)$  is the X-ray flux (I/area)

slit width  $a$ , slit height  $b$

$\mu(E)$  absorption coefficient

$t(x,y)$  sample thickness.

$$I_1 = \int_{-a/2}^{a/2} \int_{-b/2}^{b/2} F(x, y, E) e^{-\mu(E)t(x,y)} dx dy$$

**Simple case: One dimension and assume  $F(y,E)$  and  $t(y)$  vary linearly with  $y$ ;**

**$t(y) = t_o(1 + \alpha y)$ ;  $F(y,E) = F_o(E)(1 + \beta y)$**

**and  $\mu(E)t_o \alpha y \ll 1$ ;  $\exp(-\mu(E)t_o \alpha y) \sim (1 - \mu(E)t_o \alpha y)$**

$$I_1 = F_o e^{-\mu(E)t_o} \int_{-b/2}^{b/2} (1 + \beta y)(1 - \mu(E)t_o \alpha y) dy$$

**Non-uniformities couple when both  $I_o$  and  $t$  vary spatially**

$$= F_o b e^{-\mu(E)t_o} (1 - \mu(E)t_o \alpha \beta b^2 / 12)$$

**For small  $\alpha$  and  $\beta$ , correction  $10^{-3}$  to  $10^{-4}$**

$$= I_o e^{-\mu(E)t_o} (1 - \mu(E)t_o \alpha \beta b^2 / 12)$$



# Example – pre-edge removal

## $\text{La}_{0.7}\text{Ca}_{0.3}\text{MnO}_3$ : a CMR system with large Jahn-Teller distortion

Need to subtract the “background” absorption from other atoms in the X-ray path – air, windows, other atoms in sample, other absorption edges, etc.

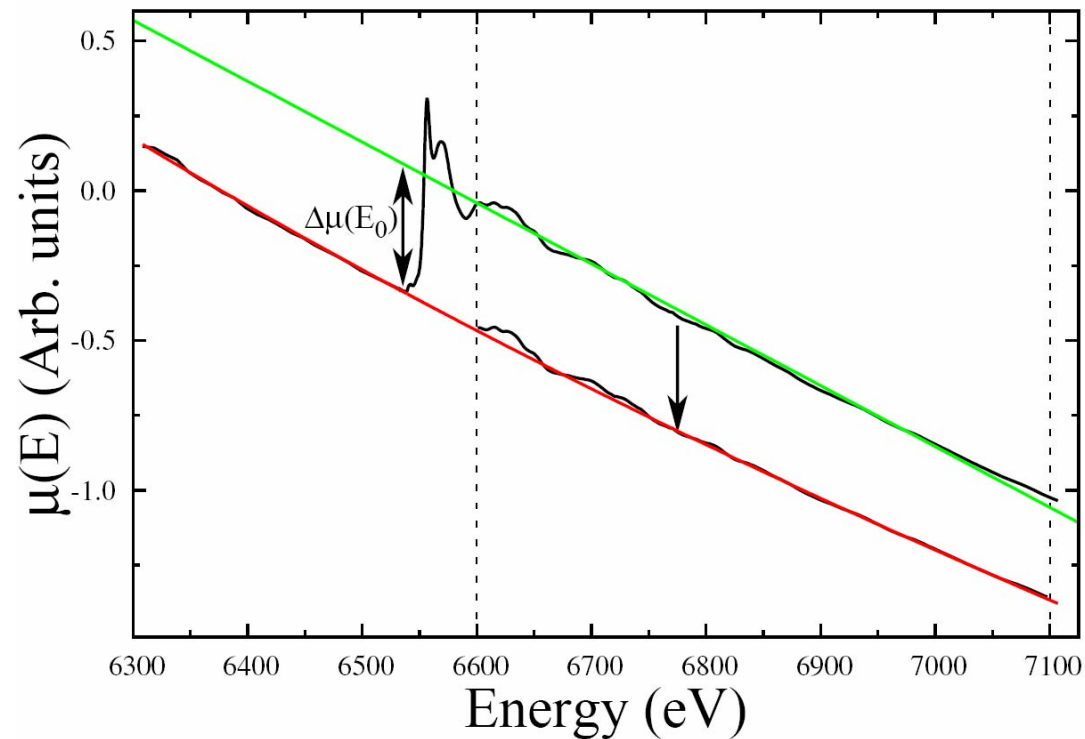
### Assumptions:

1. The background absorption is a smooth function of energy over EXAFS region.
2. We can use the Victoreen formulas and the edge step height to obtain the correct slope of data above the edge.

$$\mu_T(E) = \mu_{\text{background}}(E) + \mu_{\text{edge}}(E)$$

$$\mu_{\text{edge}}(E) = \mu_T(E) - \mu_{\text{background}}(E) = \mu_o(E)(1 + \chi(E))$$

Mn K-edge

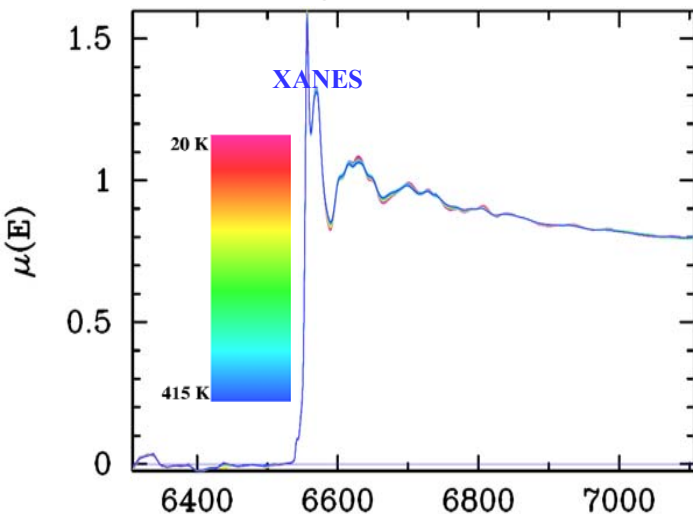


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# Pre-edge subtracted data and k-space data

Post-edge background

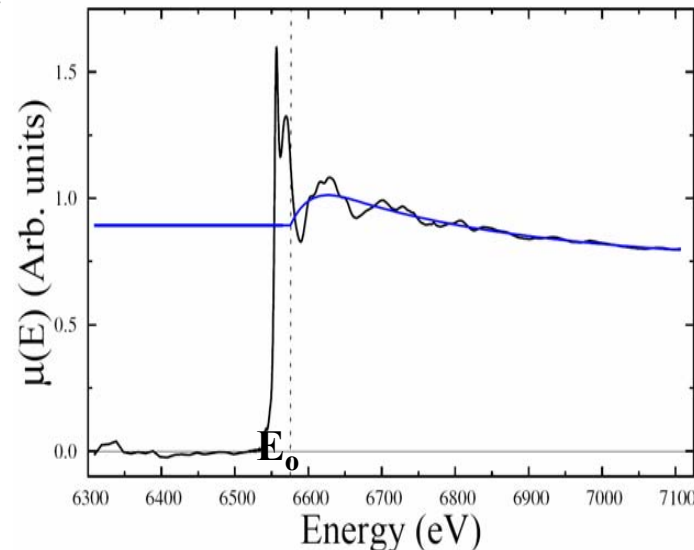
overlay of 65 files



Note - all files overlap extremely well— otherwise it will cause small differences in the value of  $\sigma$  extracted.

Slope correction:

$$\mu_o(E) \sim \mu_1(E)(1 - \alpha(E - E_o)) \\ = \mu_1(E)((1 - 2\beta^2 k^2))$$

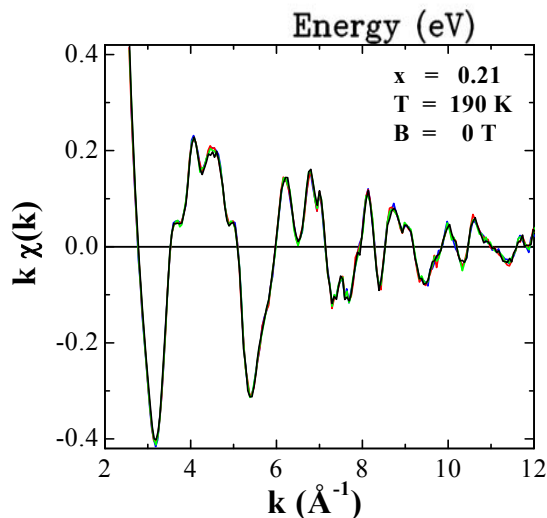


Above  $E_o$ , fit post-edge background to series of splines (or a polynomial) – adjust start of this fit ( $E_{min}$ ) to minimize any low frequency oscillations. **Assumptions/input**

$$\mu_{edge}(E) = \mu_o(E)(1 + \chi(E))$$

$$\chi(E) = \frac{\mu_{edge}(E) - \mu_o(E)}{\mu_o(E)}$$

$$k = 0.512\sqrt{E - E_o}; \quad E - E_o = \gamma k^2$$



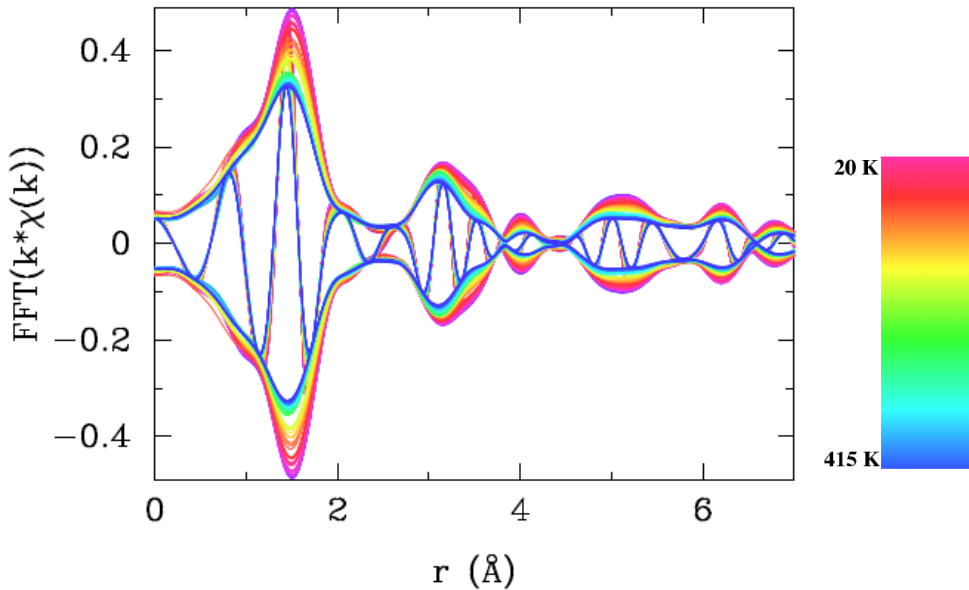
Next, Fourier Transform k-space data to r-space.

**Assumption:** need to set low end of transform window.

– for Mn,  $k_{min} = 3.5 \text{ \AA}^{-1}$ .

# r-space data - reproducibility

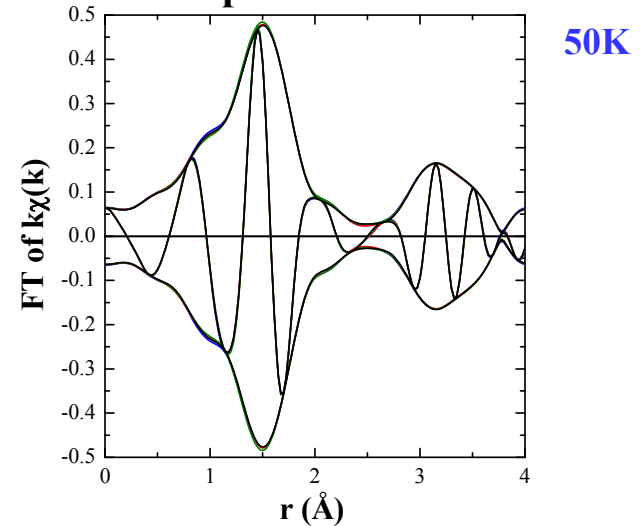
## Fourier Transform into r-space



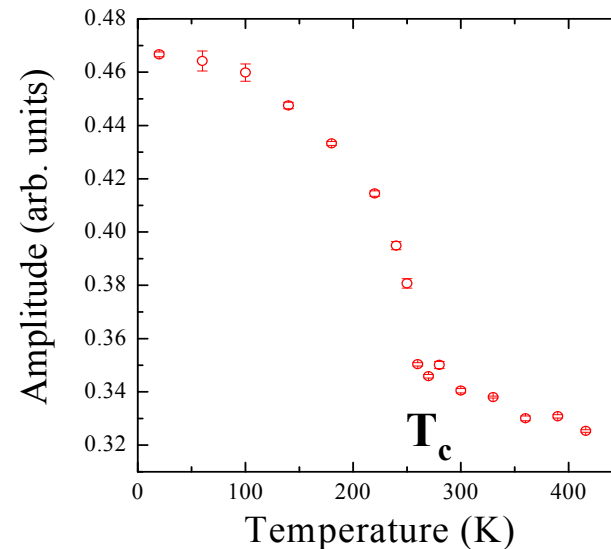
$\text{La}_{1-x}\text{Ca}_x\text{MnO}_3$ ;  $x=0.3$

Mn-O  
peak

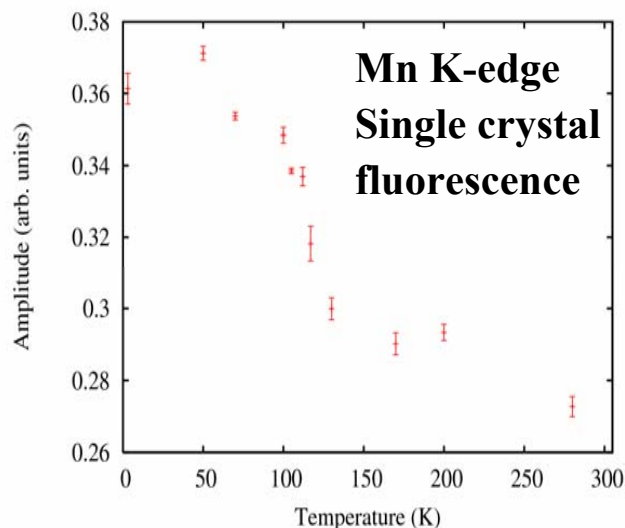
4 traces overlaid



- collect 4 traces at each temperature
- measure Mn-O amplitude for each trace – calculate average and rms variation.



# Reproducibility of Data and Fits

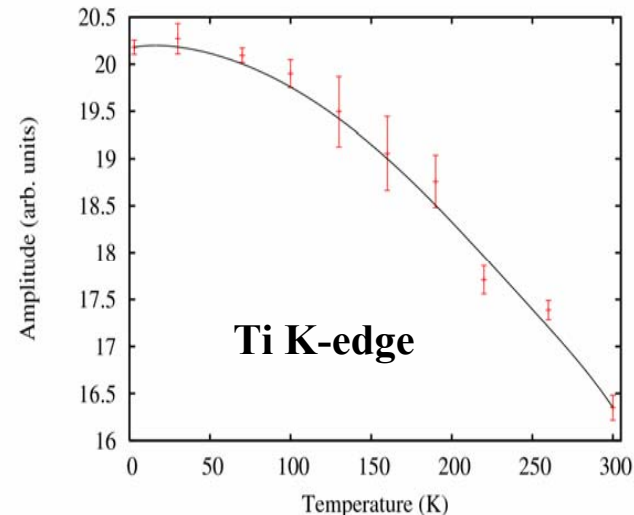


3 or 4 trace average of peak amplitudes in r-space

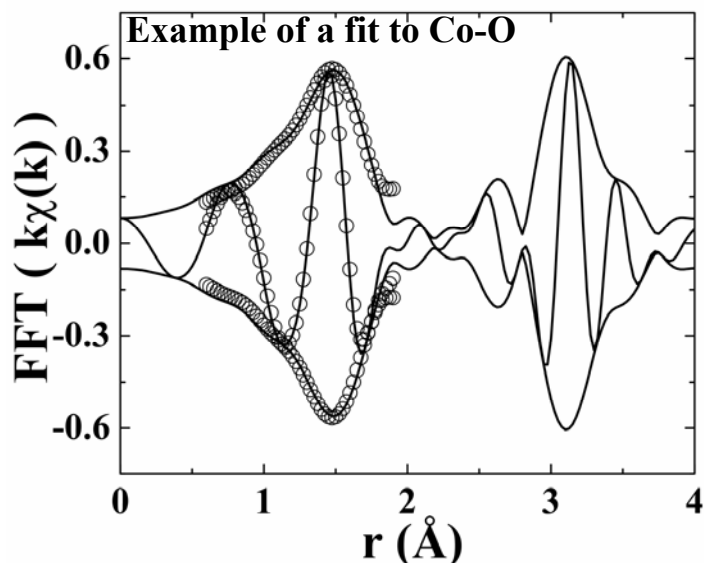
error bars are the rms variation in amplitude.

Relative errors  $\pm 1-3\%$

Error bars comparable to scatter.



$\text{LaCoO}_3$  at 3K; fit from  $1.1-1.8 \text{ \AA}$ ;  $k$   $3.3-12 \text{ \AA}^{-1}$



Fit to real and imaginary part of FT, vary  $\sigma$  and  $r$ ; fix coordination number.

Use same FT window for data and standard – fit and  $\sigma^2$  are then almost independent on FT range.

Determine  $S_0^2$  at low T and keep  $S_0^2$  constant for higher T data. To first order: errors in  $S_0^2$  produce vertical shift of  $\sigma^2(T)$ .

# EXAFS -manganites

PRL 95 106401 2005

Mn K-edge;  $\text{La}_{0.7}\text{Ca}_{0.3}\text{MnO}_3$

$\sigma^2(T=0)$  gives zero-point motion value.

For a simple split peak,  $\sigma_{\text{static}} = \Delta R/2$

Step in  $\sigma^2(T)$  corresponds to  $\Delta R < 0.13\text{\AA}$

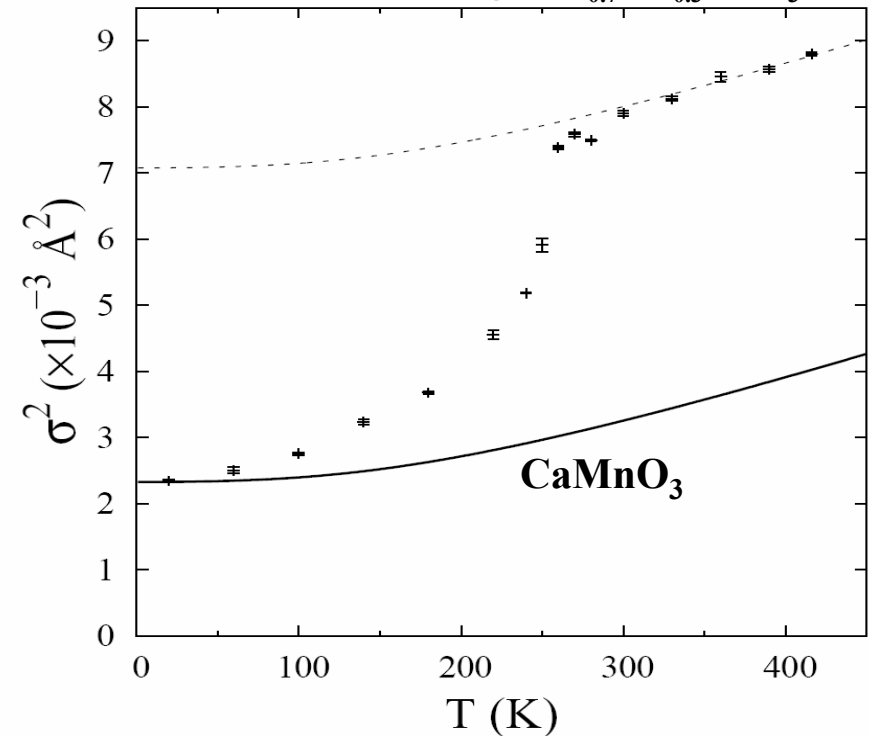
$$\sigma_{\text{total}}^2(T) = \sigma_{\text{static}}^2 + \sigma_{\text{phonons}}^2(T)$$

## Relationship to macroscopic properties

At high T large J-T distortion – the long bonds inhibit electron hopping and decrease the conductivity significantly.

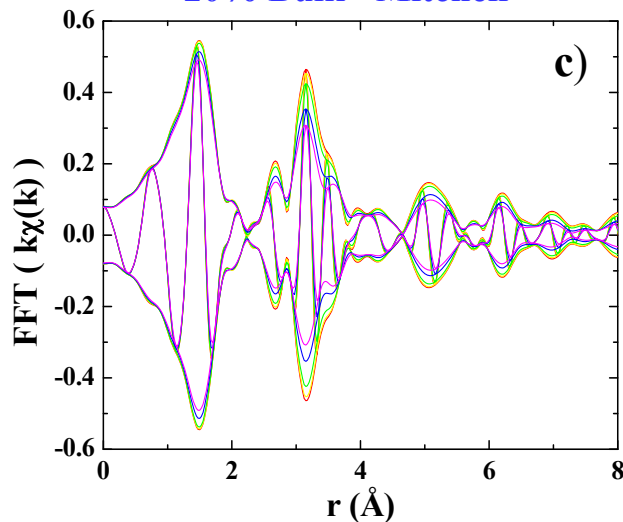
At low T the electrons hopping faster than phonons – lattice does not have time to react. Fast electron hopping means good conductivity.

When electrons hop rapidly they couple magnetic moments on the Mn sites – leads to ferromagnetism -  $T_c$  about 260K for this system.

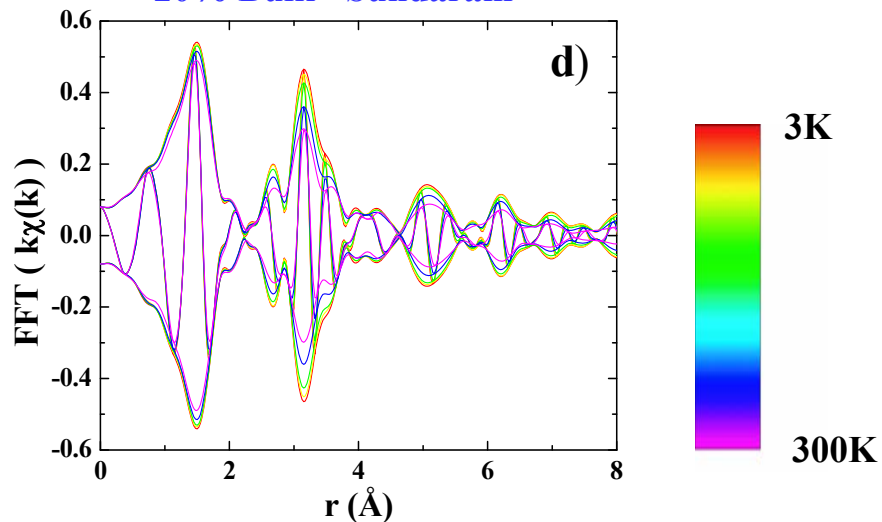


# La<sub>0.8</sub>Sr<sub>0.2</sub>CoO<sub>3</sub> – Co K-edge (is there a Jahn-Teller distortion?)

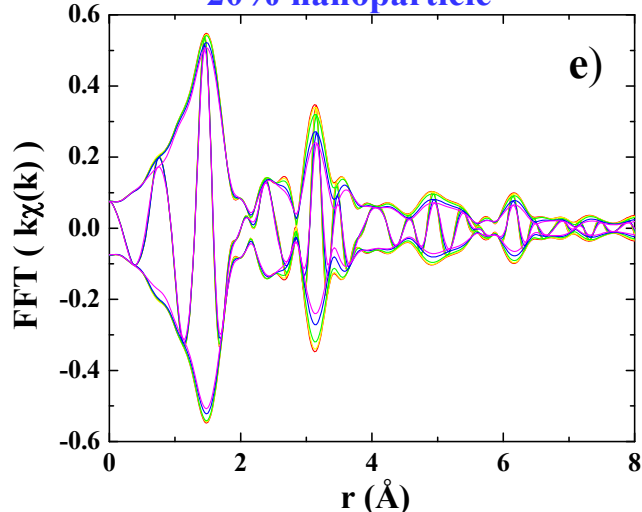
20% Bulk - Mitchell



20% Bulk - Sundaram



20% nanoparticle

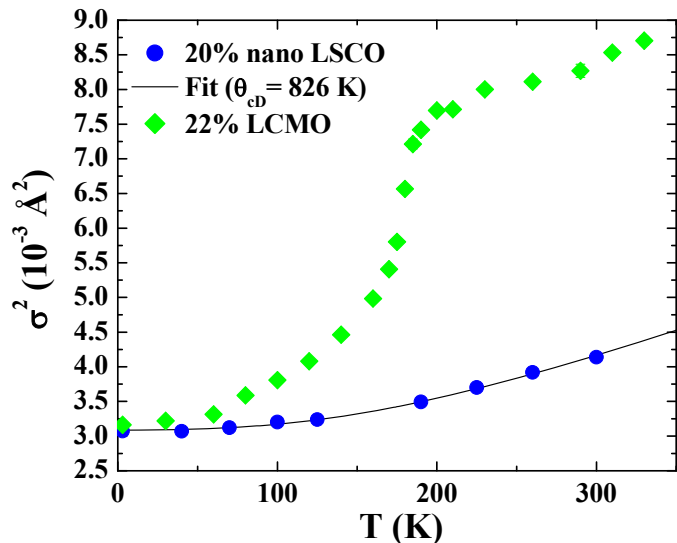


Bulk samples made by different sample-makers – have same EXAFS – same amplitudes and same T dependence for different neighbor peaks. Highly reproducible.

Nanoparticle sample (20-50 nm) clearly show more disorder for further neighbor peaks but essentially the same behavior as bulk for first neighbors Co-O.



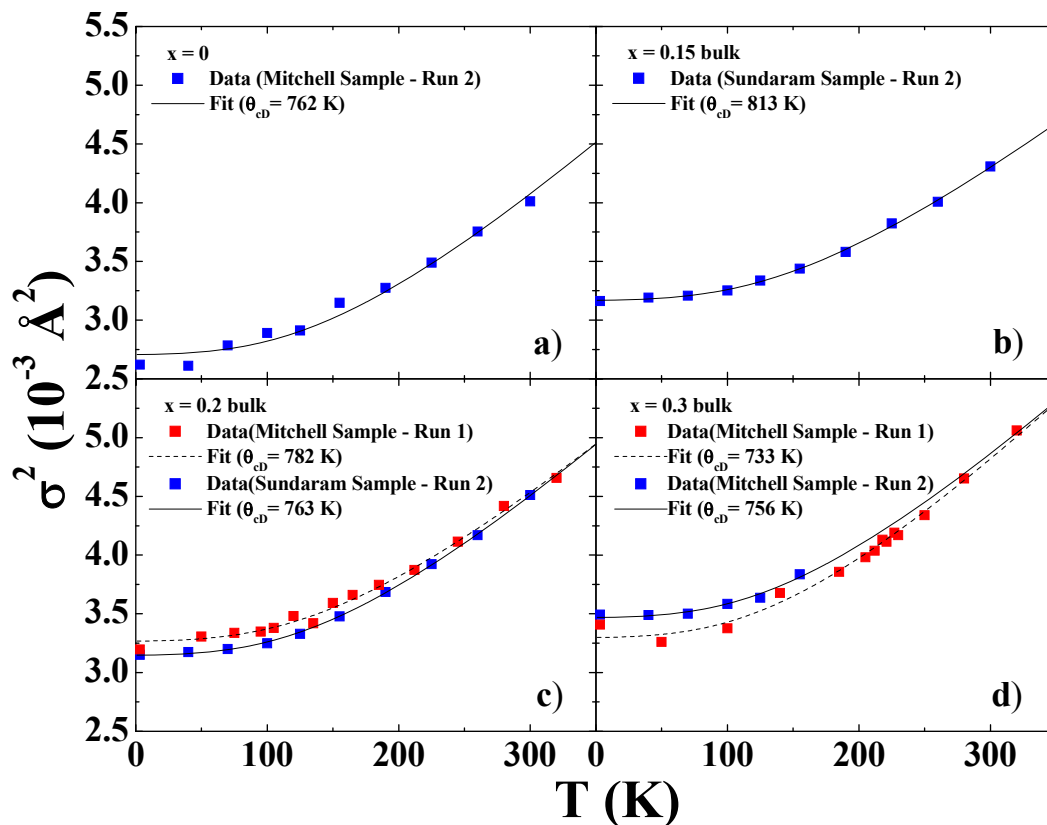
# $\sigma^2$ vs T plots for $\text{La}_{1-x}\text{Sr}_x\text{CoO}_3$



Mn (LCMO) results from PRB 76, 224428 (2007).

**Above: Comparison between a manganite (22% Ca) which shows a large J-T distortion and cobaltite (20% Sr) which has no indication of a J-T distortion.  $\sigma^2$  at low T is close to zero-point motion value.**

**Debate about magnetism – Co can have a low spin state  $S=0$ , a high spin state  $S=0$ , and perhaps an intermediate state  $S=1$ ; the  $S=1$  spin state should be J-T active – but no evidence for a distortion. Suggests M is a mixture of  $S=0$  and  $S=2$ .**



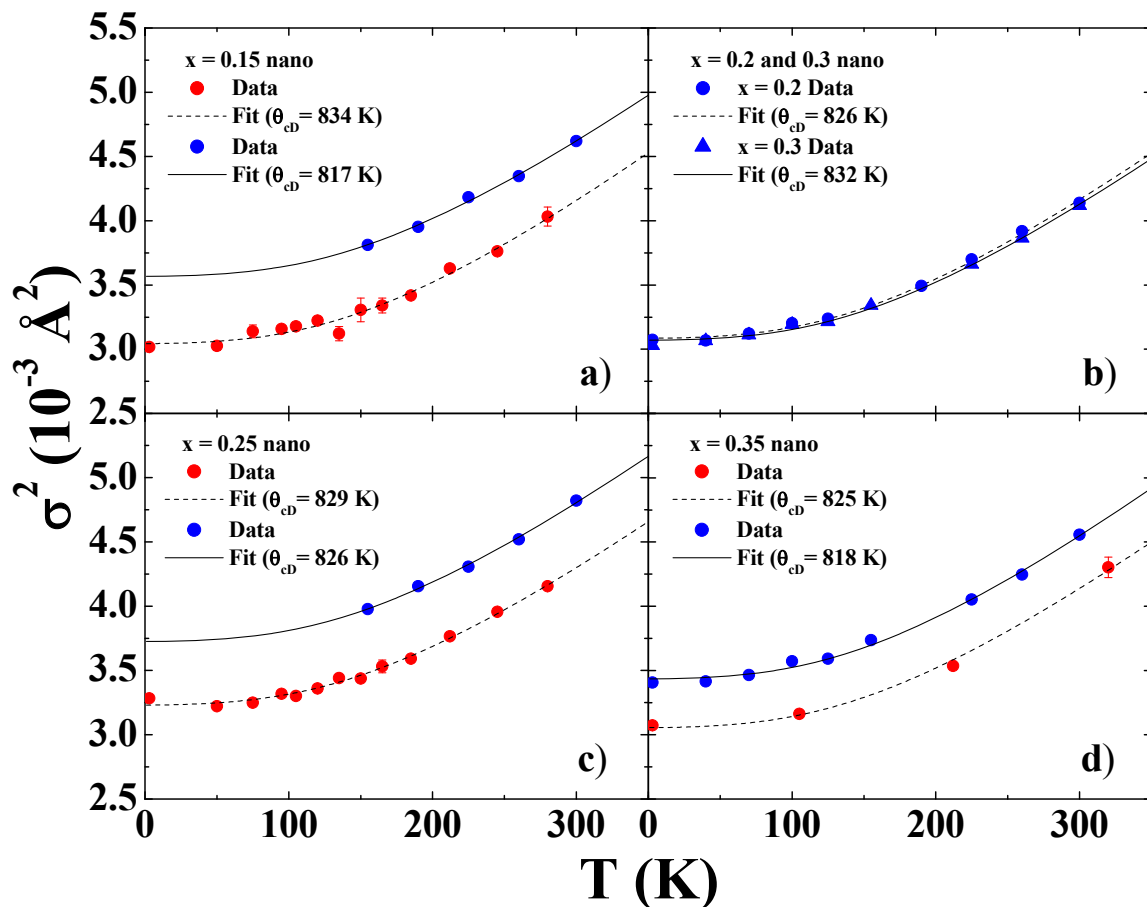
**Correlated Debye fits to data for a variety of samples**

**Similar  $\sigma^2$  near  $T=0$ , Similar  $\Theta_D$ .**

# Can see static changes

Nanoparticle samples (20-50 nm) stored on tape (in glue) for a year show increased disorder compared to as-made samples or samples stored as a powder and fresh EXAFS samples prepared.

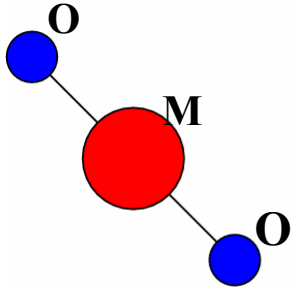
Possibly an oxidation from contact with glue.



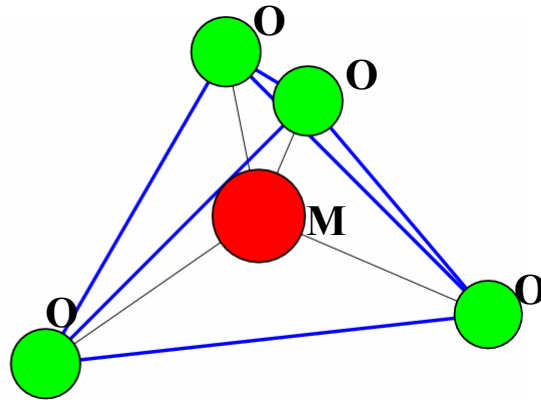
# More complex crystals

Some unit cells are composed of two or more molecular units – such as “dumbbells”, tetrahedra, or octahedra, with very strong bonds within the molecular unit but much weaker “springs” between them .

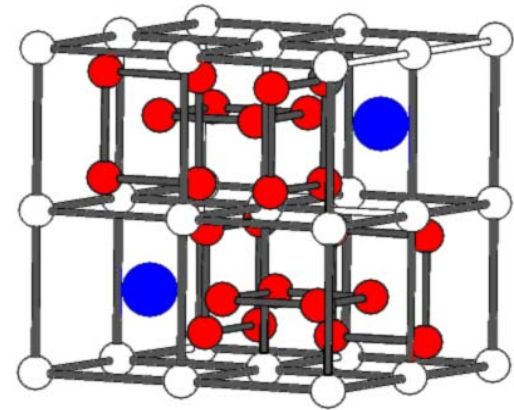
Other structures form cages that can contain other atoms, weakly bound.



Dumbbell,  $\text{MO}_2$ , with two very strong M-O bonds.



Tetrahedron,  $\text{MO}_4$ , with four very strong M-O bonds



Cage structure: skutterudite

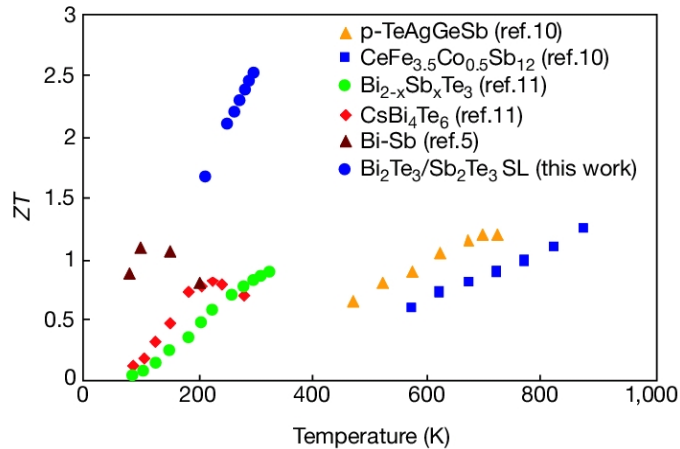
**Blue atom** is weakly bonded to cage; small spring constant.

All described by Einstein models for nearest neighbor bond.

# Thermoelectrics – filled skutterudites $\text{LnT}_4\text{X}_{12}$

## Ln rare-earth, T = Fe, Os, Ru; X = P, As, Sb

Venkatasubramanian *et al* Nature **413** 597 2001



Sales *et al* PRB **56** 15081 1997.

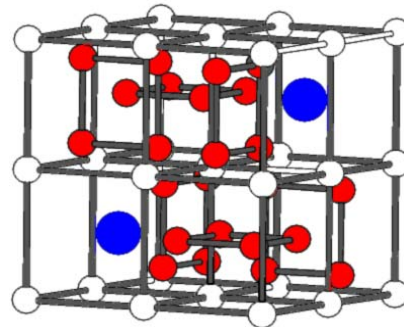
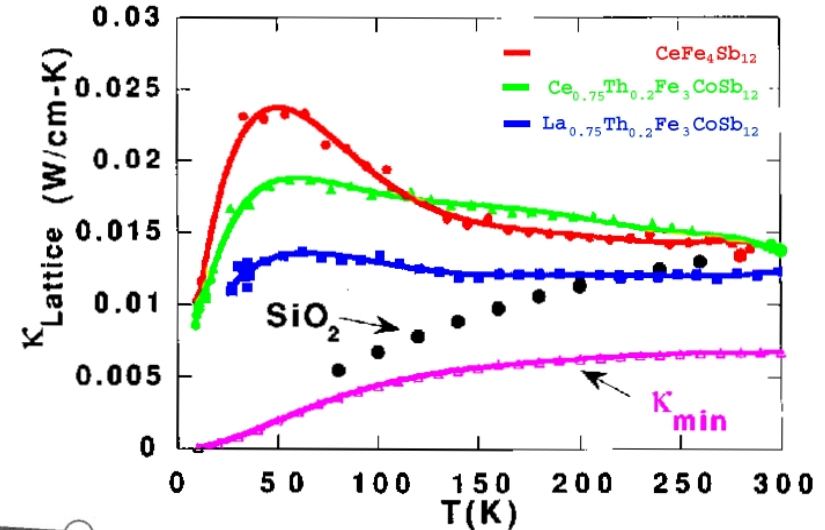


Figure of merit for thermoelectric cooling:  $ZT = TS^2\sigma_e/\kappa$

- $S$  Seebeck coefficient
- $\sigma_e$  Electrical conductivity
- $\kappa$  Thermal conductivity (phonons)
- $\kappa$  and  $\sigma_e$  determined by different scattering mechanisms!

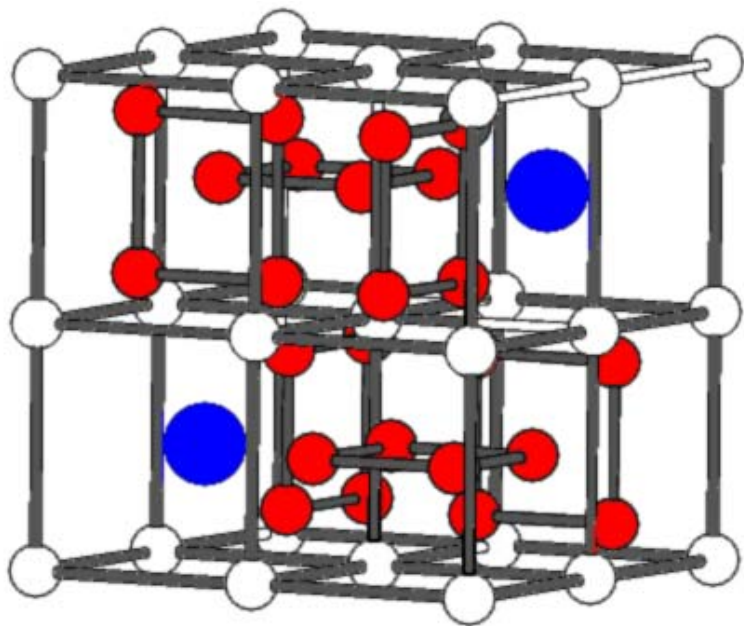
- Filled skutterudites (eg  $\text{CeFe}_4\text{Sb}_{12}$ ) -- low lattice thermal conductivity  $\kappa$  attributed to “rattling” motion of rare earth “filler” atom (Ce, Eu, Pr etc)
- Low  $\kappa$  Comparable to  $\text{SiO}_2$  glass

### Unusual macroscopic properties

High quality crystals, metallic electrical conductivity but glass-like thermal conductivity – why?

# Skutterudites $\text{LnT}_4\text{X}_{12}$

Ln rare-earth, T = Fe, Os, Ru; X = P, As, Sb



Blue: filler atom (Ce); white: T (Fe); red: X (Sb)

Ce has 12 Sb nearest neighbors and 8 Fe 2<sup>nd</sup> neighbors

## Contrast ( $\text{CeFe}_4\text{Sb}_{12}$ )

Fe-Sb lattice quite stiff – from both the Fe or Sb perspective – stiff springs.

Ce-Sb and Ce-Fe “bonds” are very soft – weak springs.

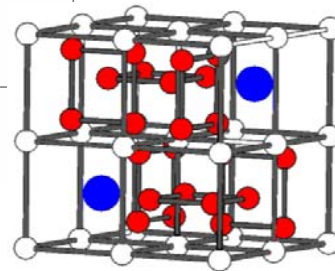
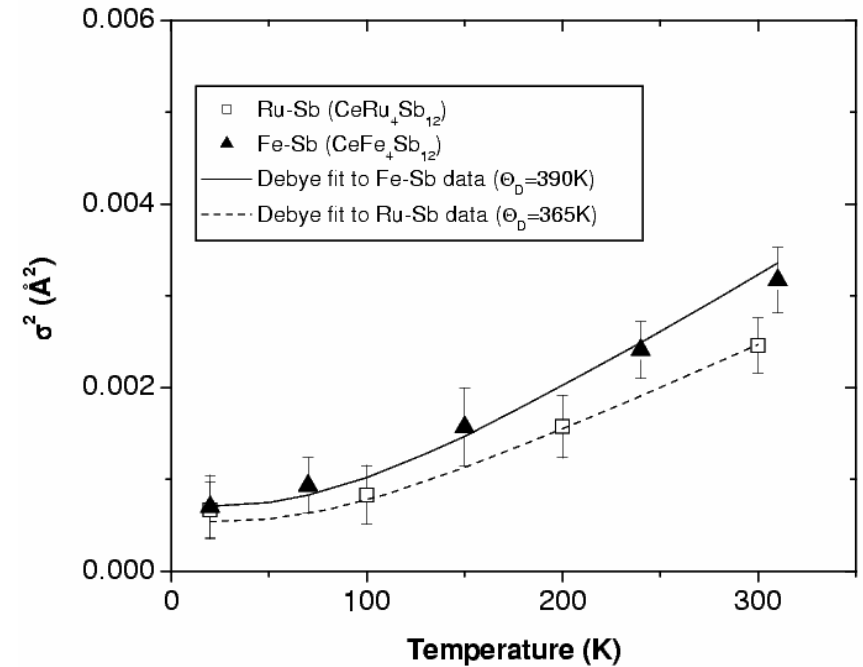
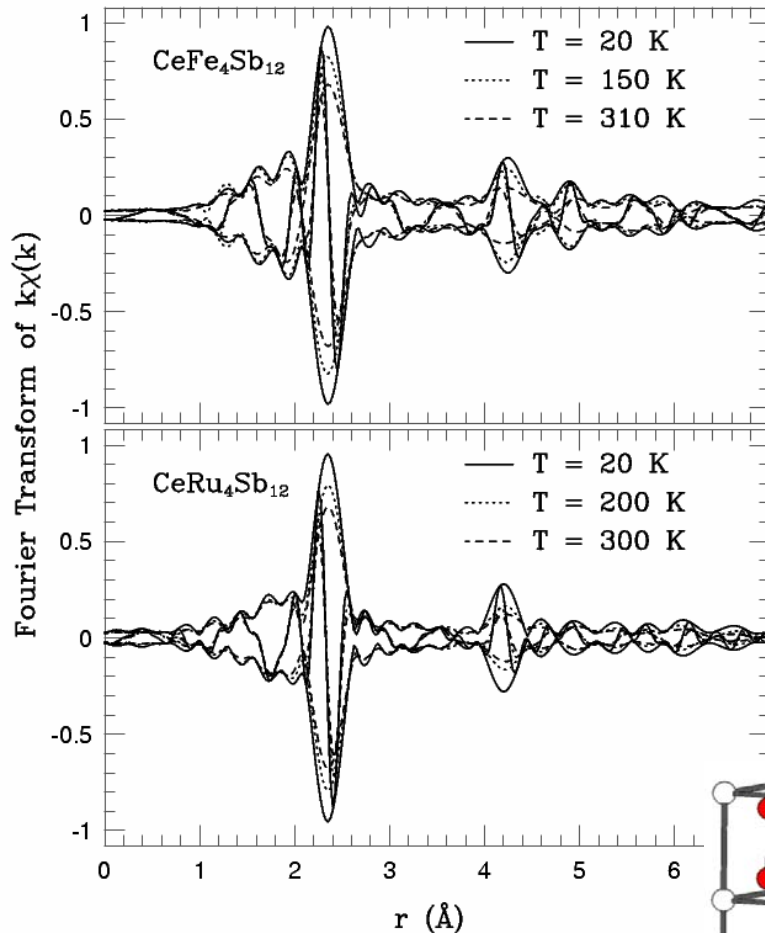
Two characteristic energies

Properties relevant for thermoelectric applications:

- Good electrical conductivity. Fairly good figure of merit.
- Glass-like thermal conductivity -- why?
- What are the vibration properties of the filler atom?
- Is the filler atom off-center?
- How does the rattling behavior depend on type of rattler, or antimonide vs phosphide?

# Fe and Ru k-edges – antimonides

## are the cages rigid?

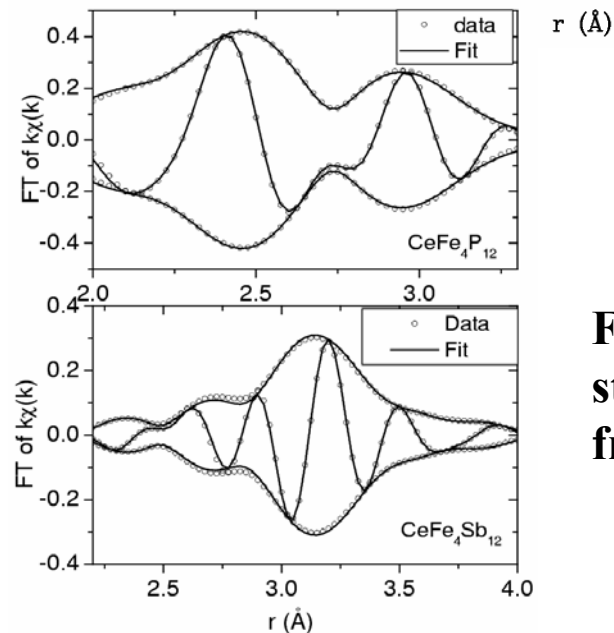
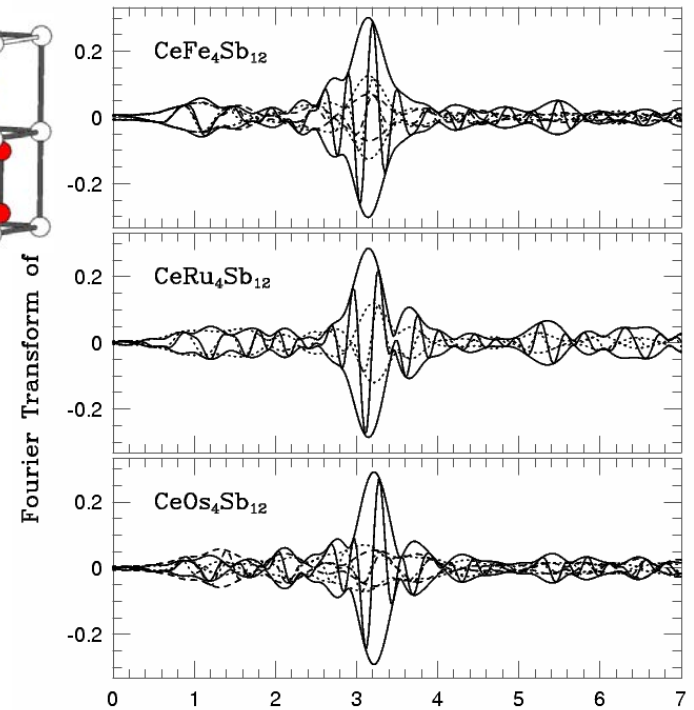
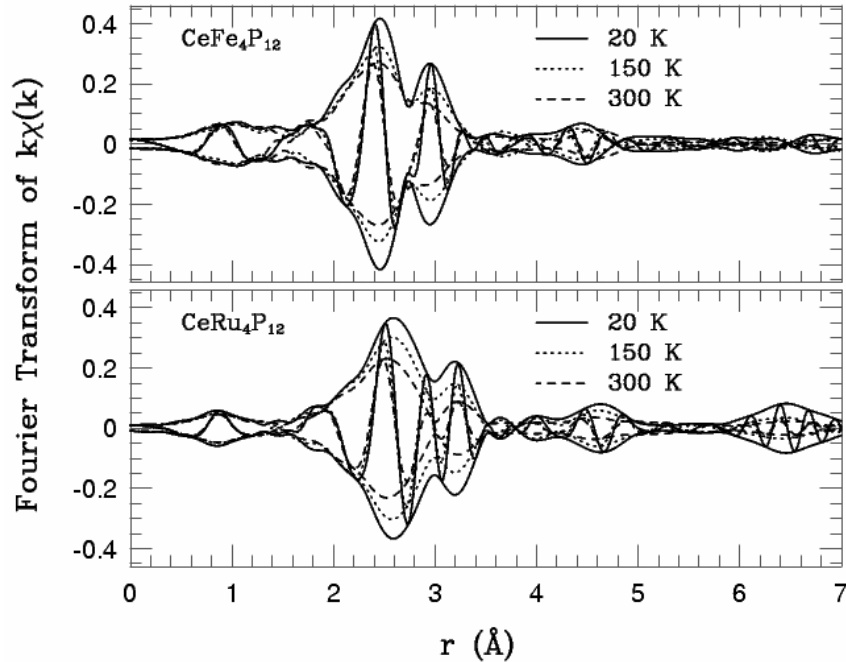
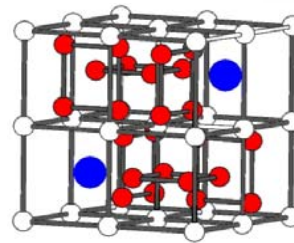


**Cages are rigid**

- $\theta_D$  is quite high 365-395K
- Similar for other antimonide skutterudites

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# Ce $L_{III}$ -edge data

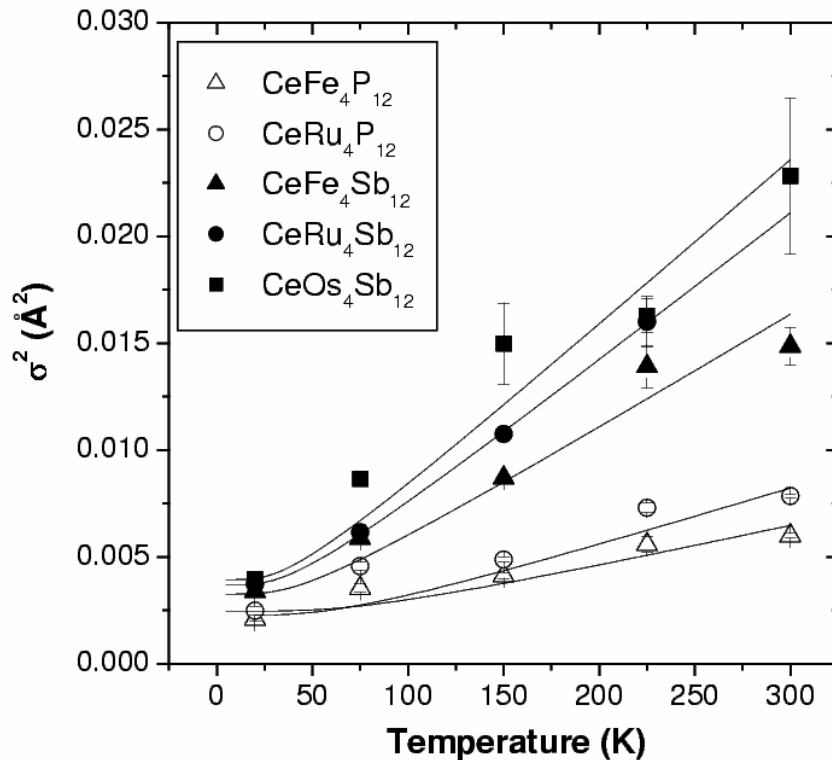


**Fits using standards from FEFF**

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- Ce-P bond length much shorter than Ce-Sb
- Ce-Sb peak has a much stronger T dependence than Ce-P
- Similar results for many similar systems

# Einstein model for Ce rattler



Sample	lattice constant (Å)	$\Theta_E$ (K)	$\sigma_{static}^2$ (Å <sup>2</sup> )
CeFe <sub>4</sub> P <sub>12</sub>	7.792	148	0.0015
CeRu <sub>4</sub> P <sub>12</sub>	8.038	125	0.0012
CeFe <sub>4</sub> Sb <sub>12</sub>	9.135	86	0.0018
CeRu <sub>4</sub> Sb <sub>12</sub>	9.266	73	0.0010
CeOs <sub>4</sub> Sb <sub>12</sub>	9.299	71	0.0020

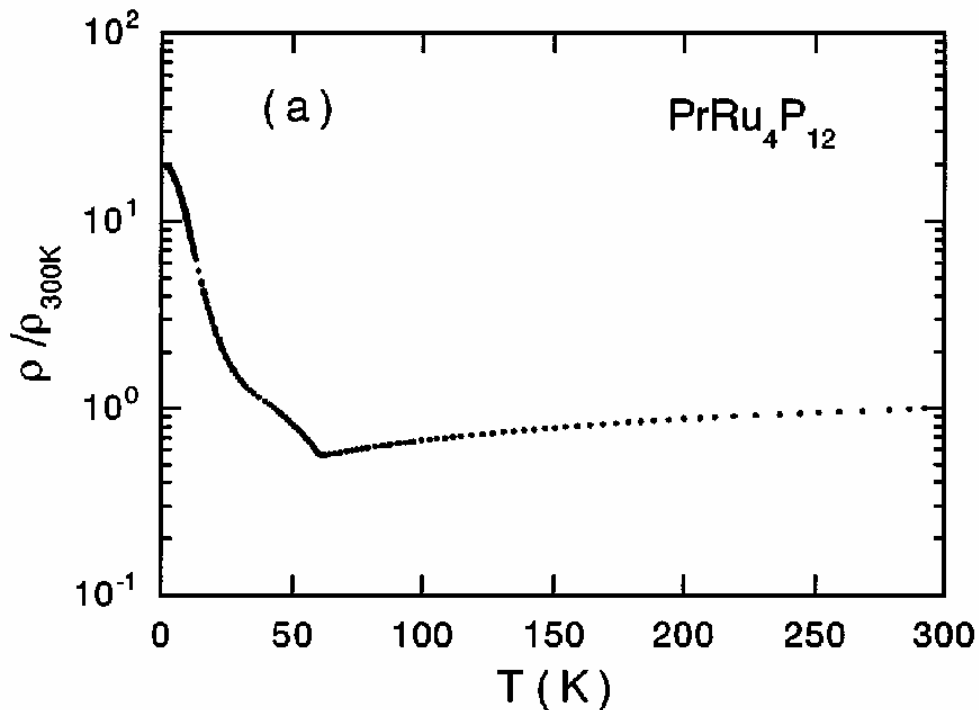
- **Treat cage as rigid – use Ce mass as the oscillator mass**
- **No significant static contribution to  $\sigma^2$  – Ce is **not** off-center.**
- **Einstein temperature decreases as the cage size increases.**
- **Similar Einstein temperatures for Eu, Yb, and Pr filler atoms.**

$$\sigma_E^2 = C / (M_R \Theta_E) \coth(\Theta_E / 2kT) + \sigma_{static}^2$$

Three parameters  $M_R$ ,  $\Theta_E$ , and  $\sigma_{static}^2$



# Metal-Insulator transition in $\text{PrRu}_4\text{P}_{12}$

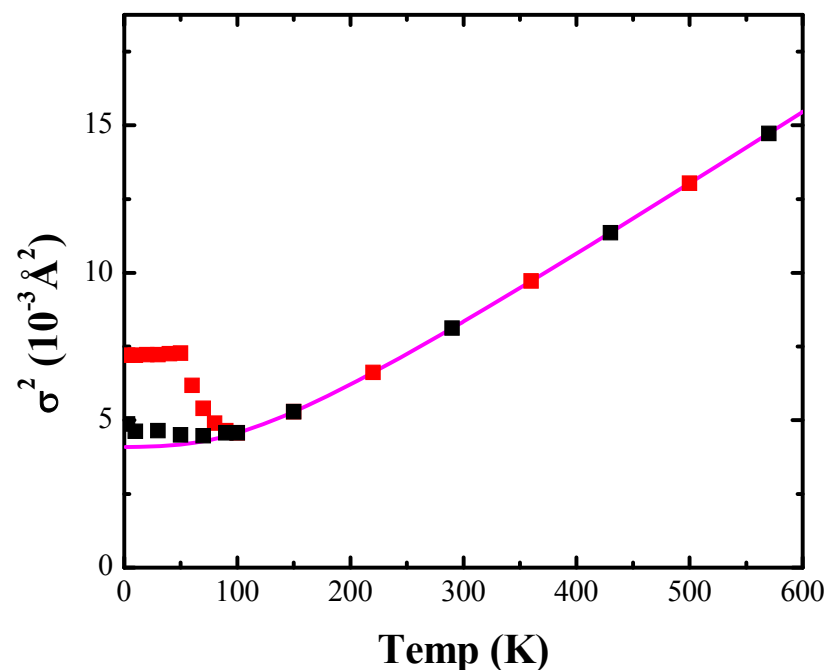
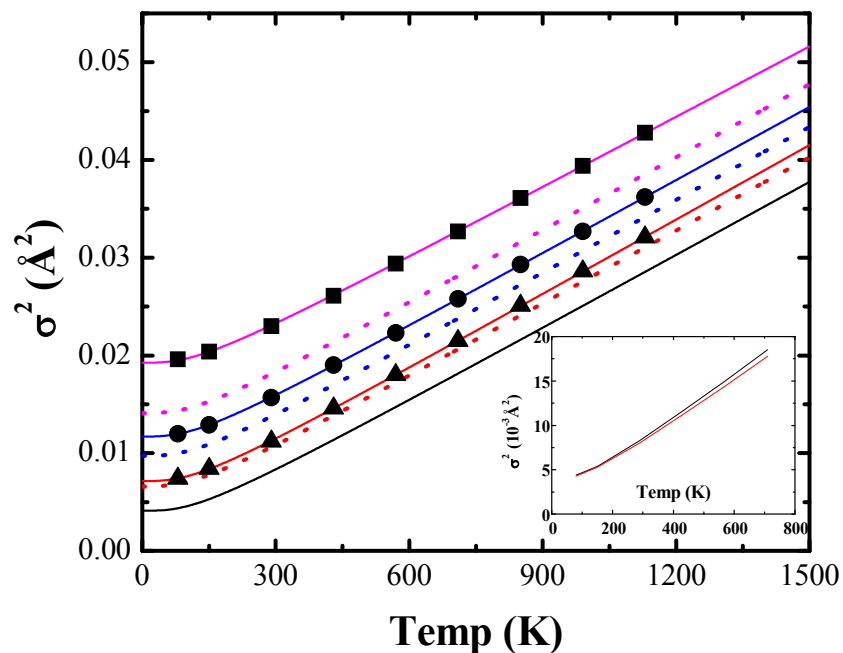


## Macroscopic behavior

- Metallic above 60K
- Insulating below 60K – **Why?**
- Sekine *etal* did not find evidence for a structural transition

Sekine *etal* PRL **79** 3219 1997

# Simulations for split peaks



**Data:** – sum of two split peaks, splittings 0.1, 0.15, and 0.2Å;  
 $r_{av} = 1.93\text{Å}$ ; broadened with corr. Debye model ( $\theta_{CD} = 500\text{K}$ )

**Fits:** fit to **one** FEFF peak, r-range, 0.8-1.8Å, k-ranges 3-9,  
 3-11, 3-16 Å; N and  $E_0$  constant.  $\sigma^2$  independent of k-range.  
**Red** - .1Å, **Blue** - 0.15Å, **Purple** - 0.2Å

**Dotted lines** – assuming  $\sigma^2_{static} = \Delta r/2$  (Teo '86)

Extracted  $\theta_{CD}$  very close to initial value (1%) in all cases.

Static value of  $\sigma^2_{static} > \Delta r/2$ ; an upper limit on any splitting

**Data:** above 100K, single peak; below 100K  
 splitting develops, **Black:**  $\Delta r = .05\text{Å}$ , **Red:** 0.1Å.  
 Peaks broadened using corr. Debye model  
 ( $\theta_{CD} = 500\text{K}$ )

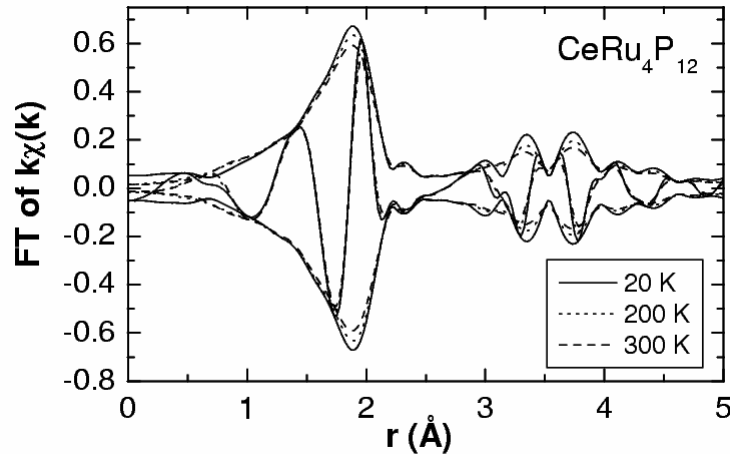
**Purple line** shows fit to corr. Debye model.

**Black and Red squares** show **increased  $\sigma^2$  below 100K.**

**Relative deviation** depends on  $\theta_{CD}$  and reduced mass.

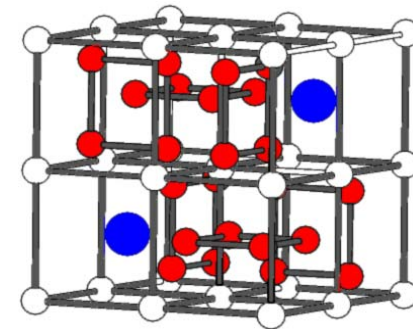
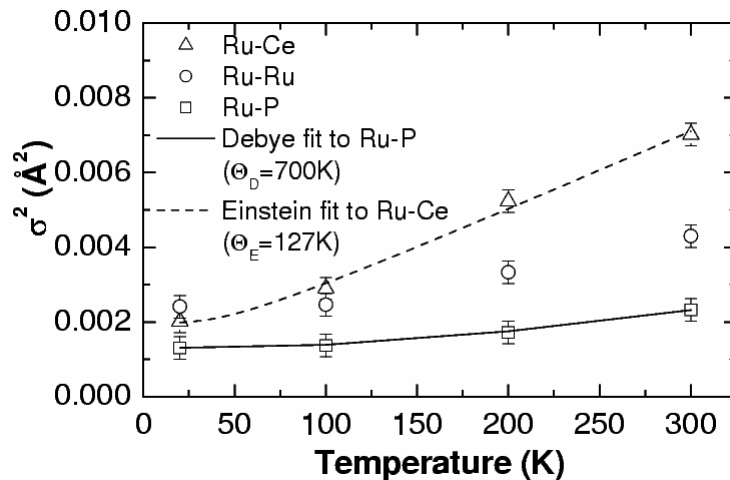


# Ru k-edge -- Phosphide

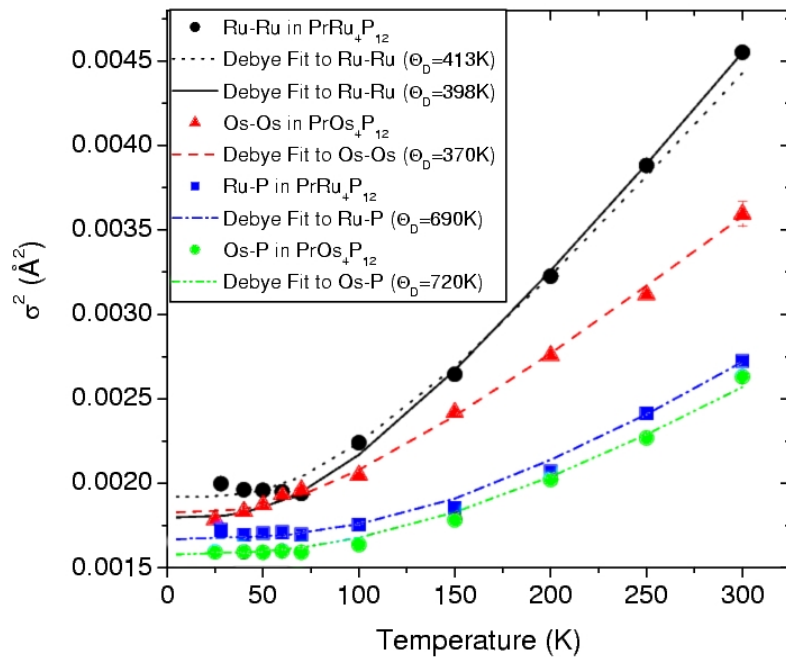


**Very high Debye temperature (700K)  
for phosphides – stiff cage.**

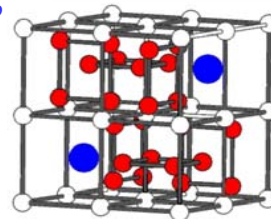
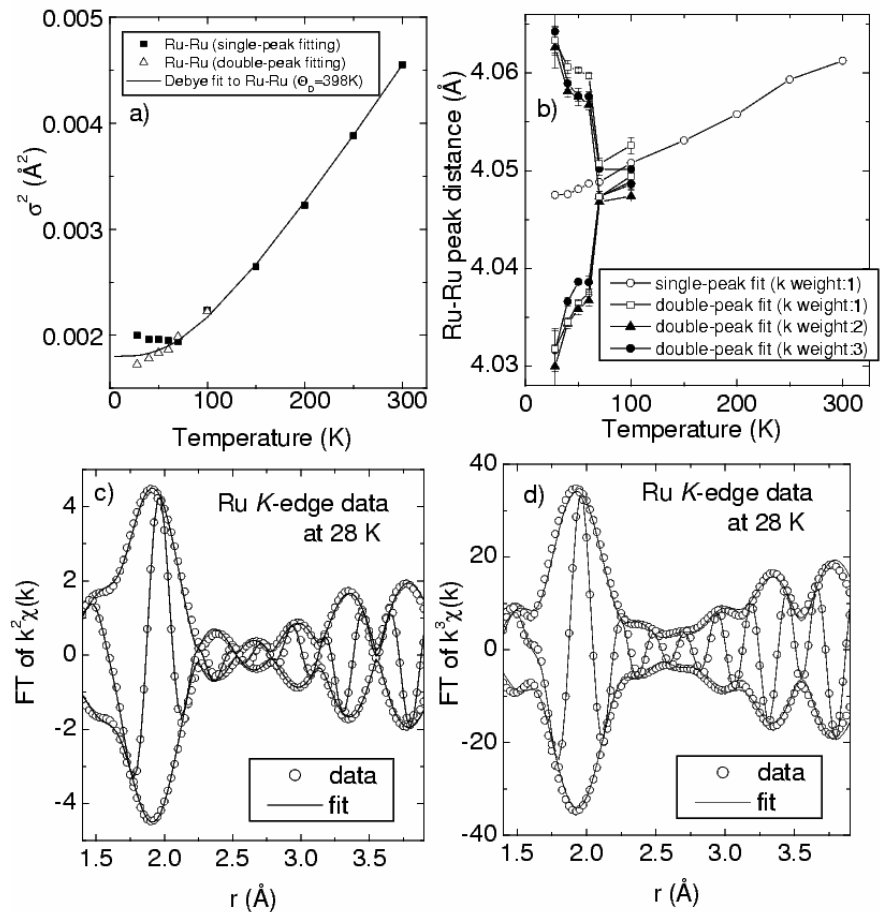
**Quite low Einstein temperature  
(127K)**



# Metal/Insulator transition in $\text{PrRu}_4\text{P}_{12}$



- Nothing clearly unusual about  $\sigma^2$  for Pr rattler or Ru-P distribution
- Unusual increase in  $\sigma^2$  for Ru-Ru --  $\sigma^2$  increases below 60K!
- Corresponds to a small lattice distortion (rotation of  $\text{RuP}_6$ ) with little distortion of Pr-Ru; two Ru sites.
- Confirmed by recent diffraction study  
Iwasa et al, PRB 72, 024414 (2005)



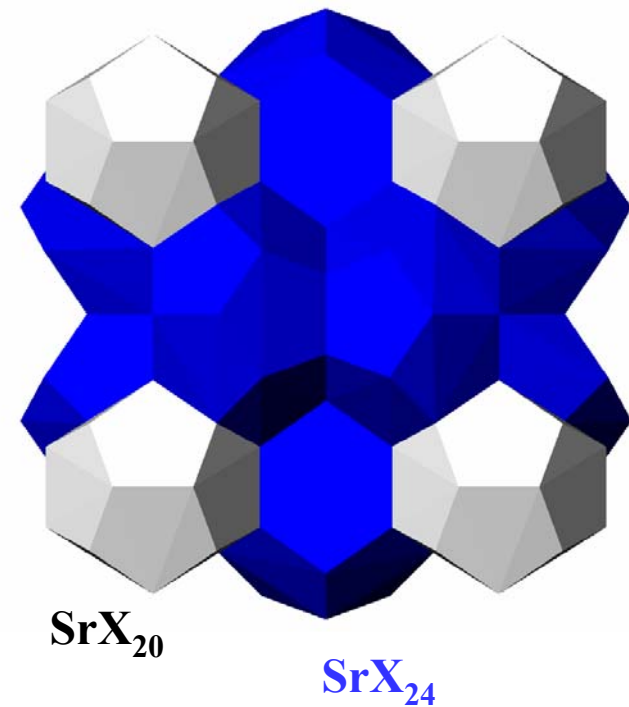
D. Cao *et al* PRL 94, 0364031 (2005).

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# Clathrates: -Background and Data Collection

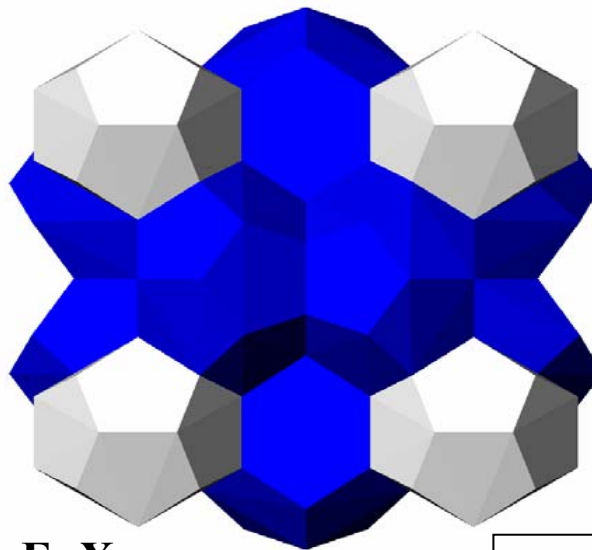
- $\text{Sr}_8\text{Ga}_{16}\text{Ge}_{30}$  and  $\text{Eu}_8\text{Ga}_{16}\text{Ge}_{30}$  Clathrates are promising thermoelectric materials – most are n-type semiconductors, with a relatively high Seebeck coefficient and **are poor thermal conductors (glass-like)**.
- Low thermal conductivity is attributed to the “**rattling**” of the **Sr or Eu atoms** within the **large cage** called **site 2**; ie **Sr2 or Eu2**. Cage is  $\text{SrX}_{24}$  or  $\text{EuX}_{24}$ ; X= Ga/Ge. **Diffraction indicates that Sr and Eu are off-center in site 2.**
- Eu and Sr on-center in smaller site 1 cage (gray).
- Data collected on beamline 4-3 at SSRL using Si  $\langle 220 \rangle$  monochromator crystals for Sr, Ga, and Ge K-edges and Eu  $L_{\text{iii}}$ -edge.

Clathrate structure

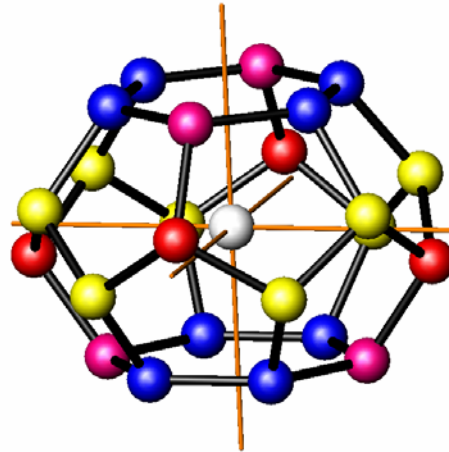


**Macroscopic properties – high quality crystal, good electrical conductivity, poor thermal conductivity**

# Thermal conductivity in filled clathrates

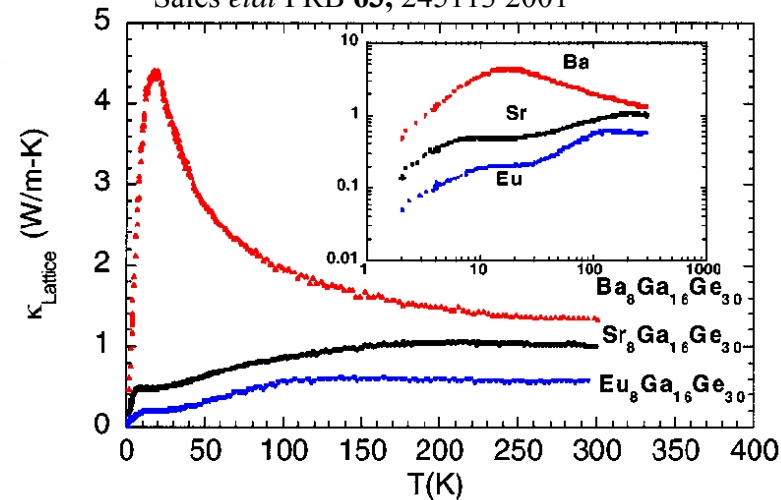


Site 2 cage; EuX<sub>24</sub>



- Central atom is Eu2 or Sr2.
- **Blue atoms** – nearest neighbor M3 sites ~ 3.6Å
- **Purple atoms** – M1 sites ~ 3.78Å.
- **Yellow atoms** – M2 sites ~ 3.98Å.
- **Red atoms** – further neighbor M3 sites ~ 4.15Å

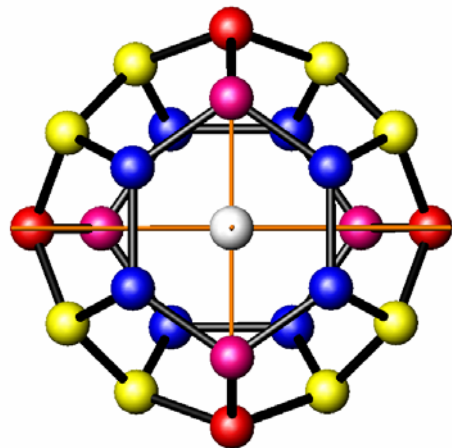
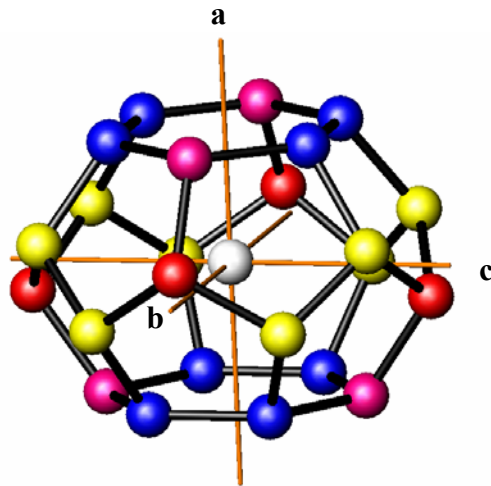
Sales *et al* PRB **63**, 245113 2001



## In n-type material

- Low thermal conductivity is attributed to the “**rattling**” of the **Sr or Eu atoms** within the **large cage called site 2**; Cages are SrX<sub>24</sub> or EuX<sub>24</sub>; X= Ga/Ge.  
**Sr and Eu are off-center in site 2**; κ is suppressed - good for thermoelectrics
- Eu and Sr are on-center in smaller site 1 cage (gray).

# Structure of the Sr<sub>2</sub> (or Eu<sub>2</sub>) site



Top View

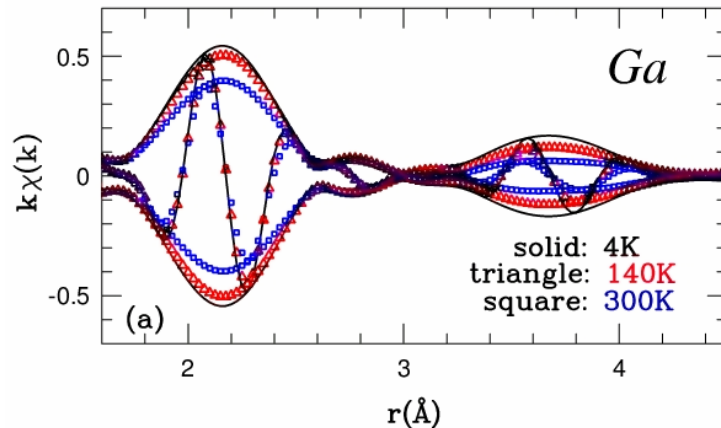
- Central atom is Sr.
- **Blue atoms** – nearest neighbor M3 sites  $\sim 3.6\text{\AA}$
- **Purple atoms** – M1 sites  $\sim 3.78\text{\AA}$ .
- **Yellow atoms** – M2 sites  $\sim 3.98\text{\AA}$ .
- **Red atoms** – further neighbor M3 sites  $\sim 4.15\text{\AA}$

## Off-center directions (four sites)

- 24k sites – along the b or c axes,  $(0,\Delta,0)$  or  $(0,0,\Delta)$ .
- modified “24k” site; off-center towards most distant M3 site (**red atoms**) -  $(\delta,\Delta,0)$ .
- 24j sites – along  $(0,\Delta,\Delta)$ ; midpoint between two M2 sites (**yellow atoms**). Poor fit for EXAFS and diffraction.

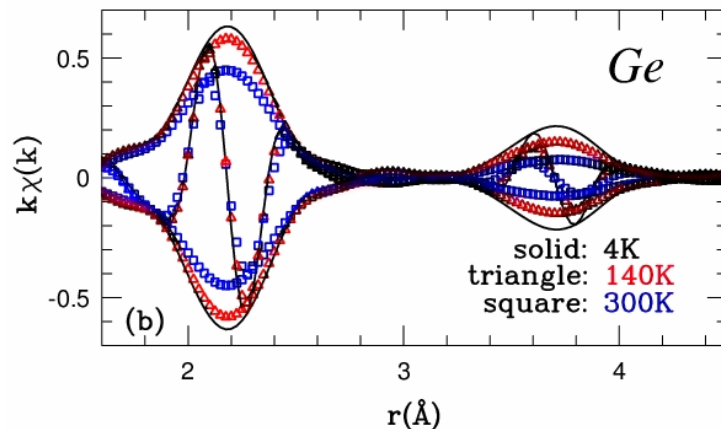
# Ga and Ge EXAFS of $\text{Eu}_8\text{Ga}_{16}\text{Ge}_{30}$

**Ga-Ga/Ge**



- Ga and Ge k-edge EXAFS very similar
- Weak T-dependence of 1<sup>st</sup> peak
  - Stiff Eu1 and Eu2 cages
  - High Debye Temperature, 400K
- Nearly identical results for  $\text{Sr}_8\text{Ga}_{16}\text{Ge}_{30}$

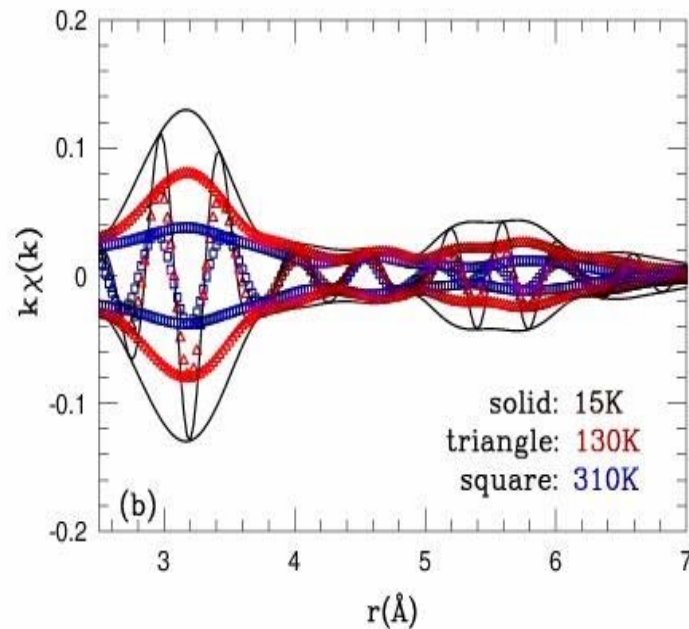
**Ge-Ga/Ge**



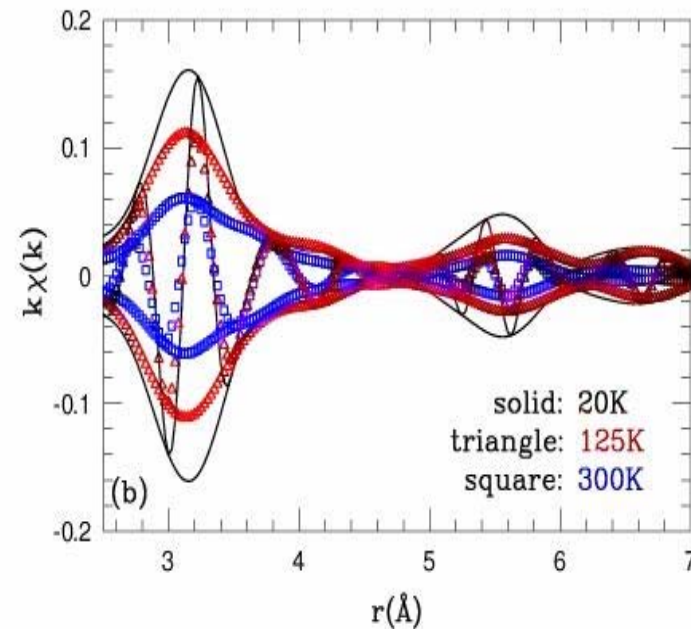


# EXAFS of rattler atoms Eu and Sr

**Eu<sub>8</sub>Ga<sub>16</sub>Ge<sub>30</sub> – Eu L<sub>III</sub>-edge**



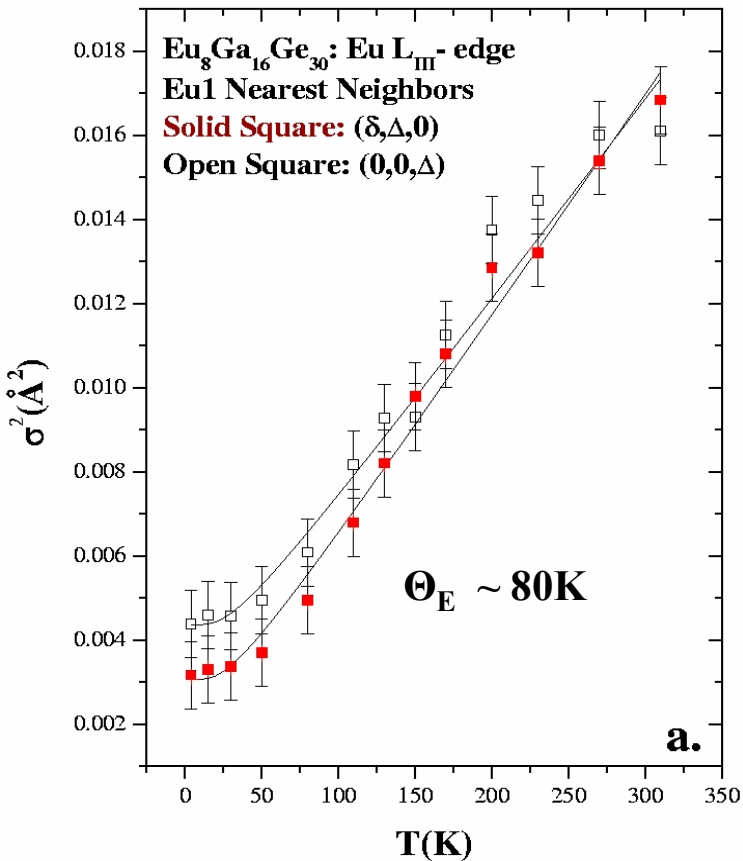
**Sr<sub>8</sub>Ga<sub>16</sub>Ge<sub>30</sub> – Sr k-edge**



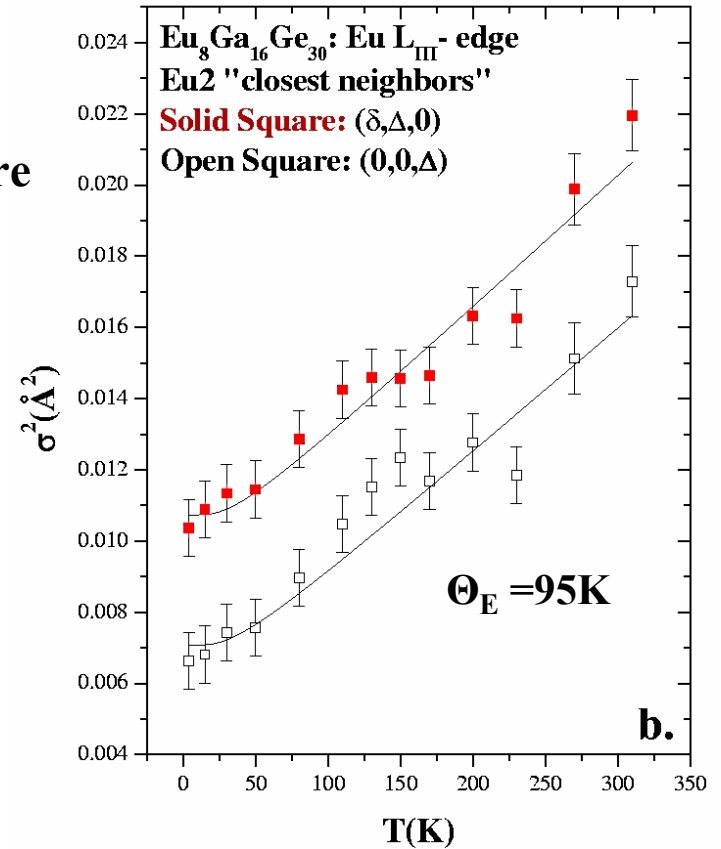
Baumbach *et al* PRB 2005

- Eu and Sr EXAFS strongly T dependent
- First peak mostly near 3.2 Å -- but expect it to extend to ~4 Å
- To fit Eu2 use 4 groups of neighbors with different  $\sigma^2$ 's
- Requires that near neighbors have small  $\sigma$ , more distant neighbors within cage have a large  $\sigma$
- Off-center Eu2 or Sr2 bonded to side of cage!!

# Einstein model for Eu1 and Eu2



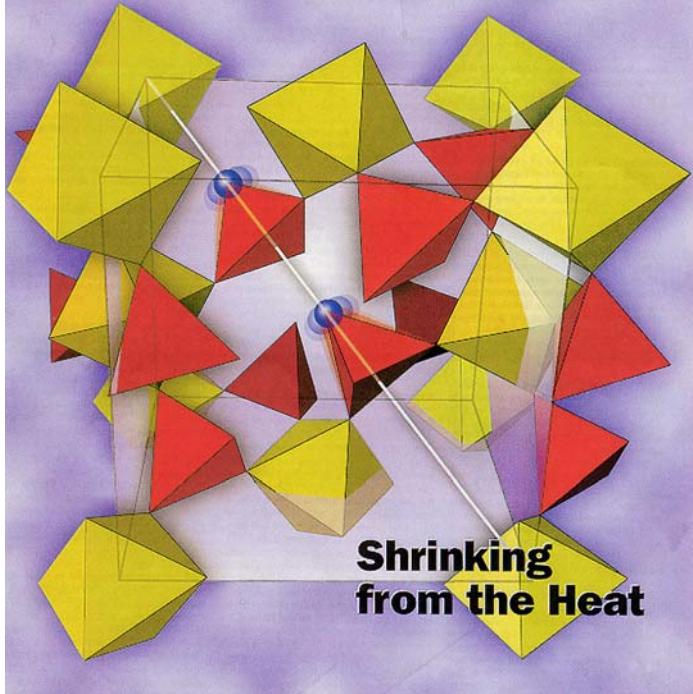
**Eu1 and Eu2 are  
both rattlers**



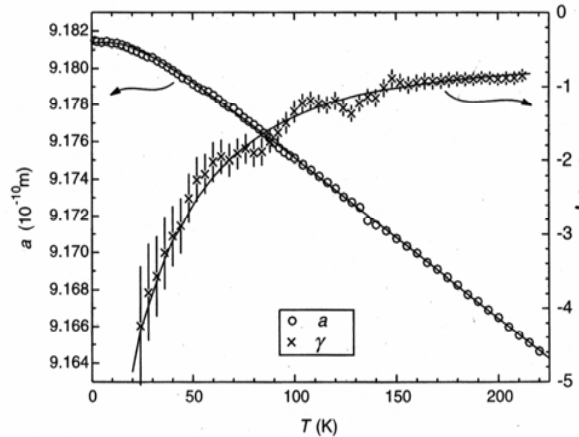
**Einstein temperature for Eu2 nearest neighbors higher than for Eu1! This is a measure of radial vibrations – quite different than the low average Einstein temperature from diffraction thermal parameters.**

**Eu2 off-center  $\sim 0.44\text{\AA}$**

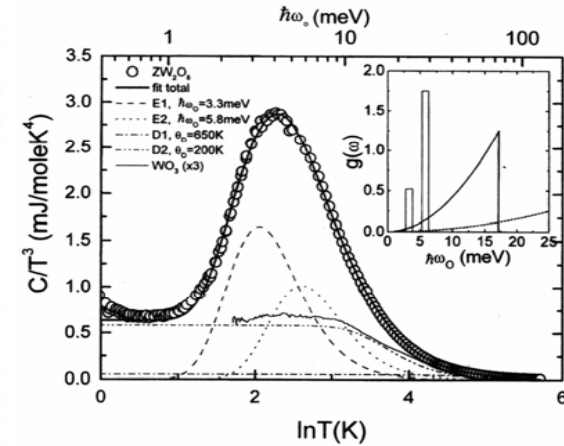
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# Background: $ZrW_2O_8$



Ernst *et al*, *Nature* 396, 1998



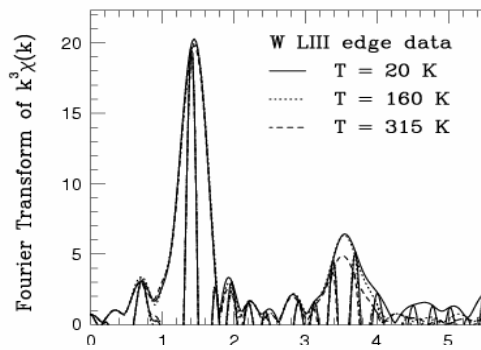
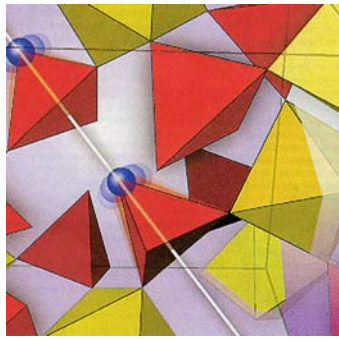
Ramirez *et al*, *Physical Review Letters* 80, 1998

## Cubic crystal structure

- $ZrO_6$  (yellow) octahedra and  $WO_4$  (red) tetrahedra corner linked; two types of W-- (W(1) with O(1) and O(4) neighbors and W(2) with O(2) and O(3) neighbors).
- Zr-Zr distance determines lattice constant.
- $W(1)O_4$  and  $W(2)O_4$  tetrahedral units not constrained along  $\langle 111 \rangle$  axis. The O3 or O4 along the  $\langle 111 \rangle$  axis is not connected to another unit.
- $WO_4$  tetrahedra are very stiff – Raman measurements yield  $\sim 1000 \text{ cm}^{-1}$  ( $\sim 1500\text{K}$ ) for the compression mode.

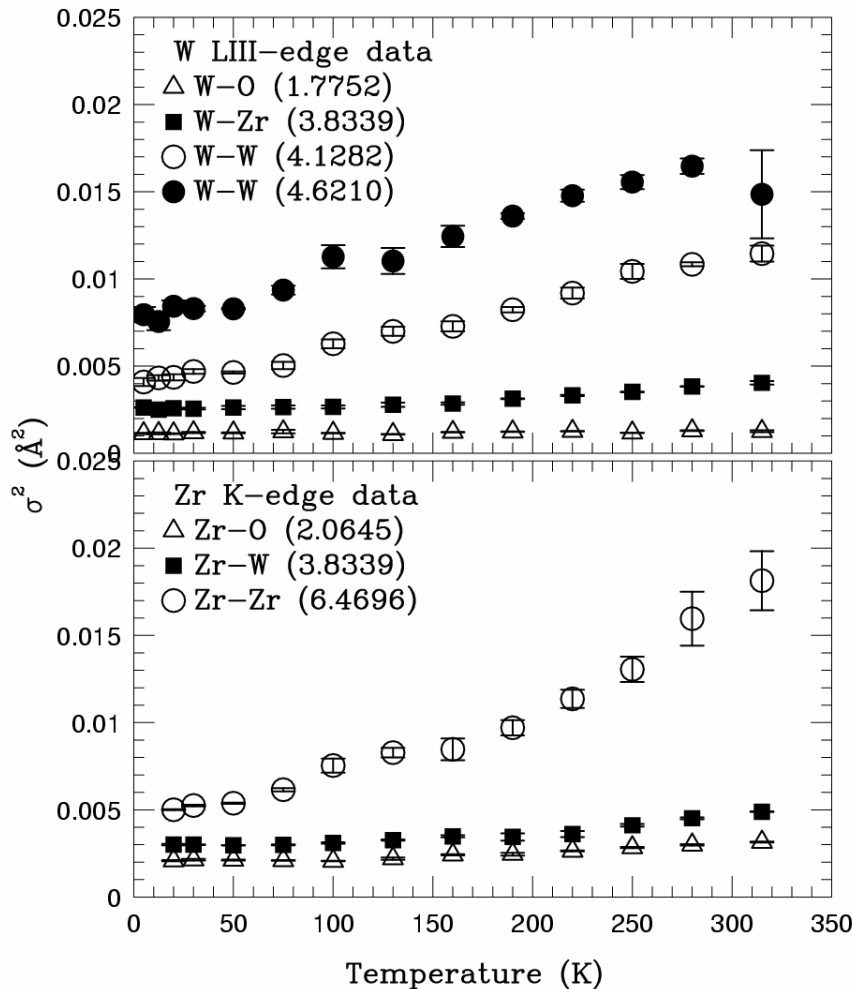
Example of unusual correlations

Large motions of  $WO_4$  tetrahedra (and  $ZrO_6$  octahedra) but very small vibrations of W-O!



# $\sigma^2$ vs. T for $\text{ZrW}_2\text{O}_8$

- $\sigma^2$  for W-O nearly independent of T
- Very small zero-point motion ( $0.0012\text{\AA}^2$ )  
zero-point motion from Raman energies  $\sim 0.0011\text{\AA}^2$
- $\sigma^2$  for W-W has a strong T dependence
- Large zero-point motion contribution ( $0.0045\text{\AA}^2$ )
- $\sigma^2$  for Zr-O weak T dependence
- $\sigma^2$  for Zr-Zr very strong T dependence



## Strong Correlations

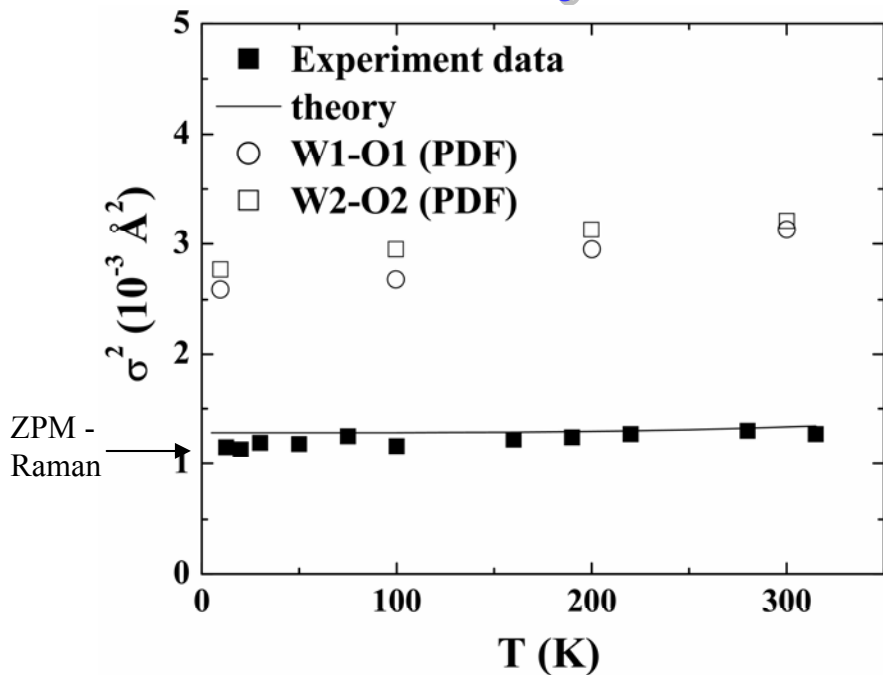
W – involved in small amplitude (high frequency) W-O mode and large amplitude (low frequency)  $\text{WO}_4$ – $\text{WO}_4$  mode.

$$\sigma^2_{\text{EXAFS}} = U_A^2 + U_B^2 - 2U_A U_B \phi$$

where  $U_A$  and  $U_B$  are atomic displacement parameters from diffraction, but need good anisotropic parameters



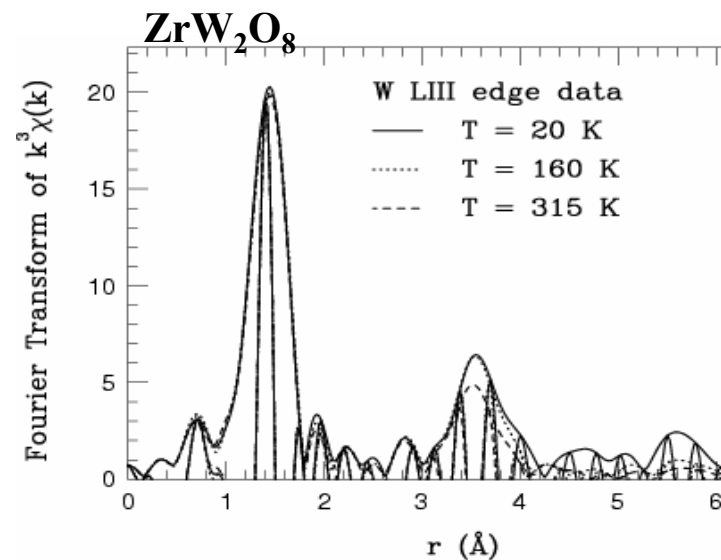
# Controversy with PDF



## Strong Correlations

W – involved in small amplitude (high frequency – 125 meV) W-O mode and large amplitude (low frequency)  $WO_4-WO_4$  mode.

Neutron PDF needs to integrate over a large enough energy range to probe high frequency correlations.



- Structure has two  $WO_4$  tetrahedra, W-O bonds - strongest in structure.
- 4 closely spaced W-O peaks.
- $\sigma^2_{EXAFS}$  for W-O nearly independent of T
- Very small zero-point motion (ZPM) value -  $0.0012 \text{ \AA}^2$ ;  
ZPM from highest Raman energies  $\sim 0.0011 \text{ \AA}^2$
- $\sigma^2_{cluster}$  calculation; comparable to  $\sigma^2_{EXAFS}$   
ZPM value  $\sim 0.0013 \text{ \AA}^2$ .

Need joint EXAFS/PDF studies on same sample material

# Conclusions

- In complex structures,  $\sigma^2$  can arise from a combination of mechanisms – a T dependence can help separate them.
- In some systems there are several characteristic temperatures – often associated with different parts of the unit cell.
- Significant correlation effects can be observed in addition to the often used, correlated Debye model.
- For large open unit cells, molecular clusters can behavior much like large atoms in low energy vibrations.
- In some cases the same atom can be involved in a low frequency Einstein mode and also a very high frequency Einstein mode.
- When several mechanisms operative, only parts of the displacements are correlated.
- Shown that for uniform powder samples and unfocused beamline can obtain very high reproducibility in EXAFS data [not usually attainable using focused beamlines].

